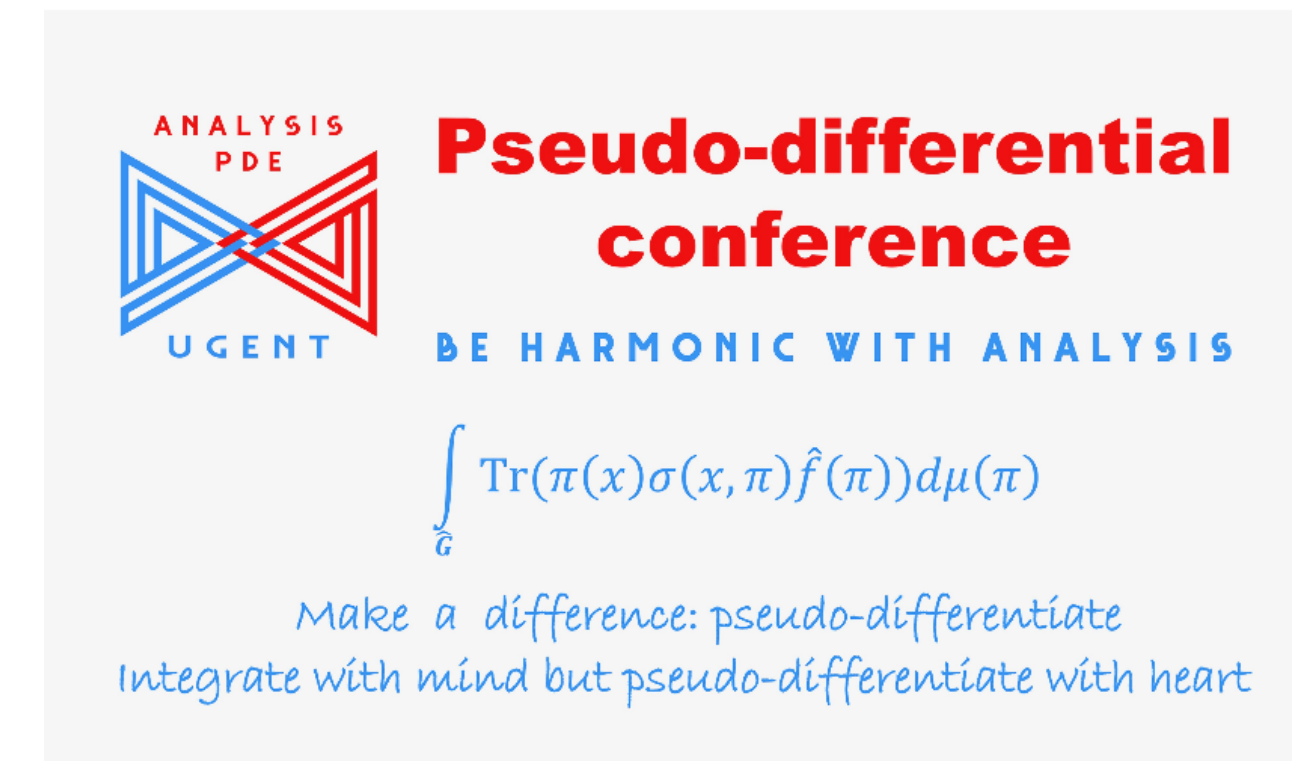


# The Harmonic Oscillator on The Heisenberg Group

David Rottensteiner<sup>1</sup> and Michael Ruzhansky<sup>1,2</sup>

Department of Mathematics: Analysis, Logic and Discrete mathematics, and Ghent Analysis & PDE Center (Ghent-Belgium)<sup>1</sup>  
School of Mathematical Sciences, Queen Mary University of London (London-UK)<sup>2</sup>



## Abstract

We present a notion of harmonic oscillator on the Heisenberg group  $\mathbf{H}_n$ . This operator forms a natural analogue of the harmonic oscillator on  $\mathbb{R}^n$ .

## Introduction

Our ansatz is based on a few reasonable assumptions: the harmonic oscillator on  $\mathbf{H}_n$  should be a negative sum of squares of operators related to the sub-Laplacian on  $\mathbf{H}_n$ , essentially self-adjoint with purely discrete spectrum, and its eigenvectors should be smooth functions and form an orthonormal basis of  $L^2(\mathbf{H}_n)$ . This leads to a differential operator on  $\mathbf{H}_n$  which is determined by the Dynin-Folland Lie algebra, a stratified 3-step nilpotent Lie algebra.

## Ansatz

Our approach is motivated by the following three realizations of the classical harmonic oscillator  $\mathcal{Q}_{\mathbb{R}^n}$  on  $\mathbb{R}^n$ :

- (R1) the negative sum of squares  $-\Delta + |x|^2$  of partial derivatives of order 1 and coordinate multiplication operators;
- (R2) the Weyl and Kohn-Nirenberg quantizations on  $\mathbb{R}^n$  of the symbol  $\sigma(x, \xi) := |\xi|^2 + |x|^2$  with  $x, \xi \in \mathbb{R}^n$ ;
- (R3) the image  $d\rho_1(-\mathcal{L}_{\mathbf{H}_n})$  of the negative sub-Laplacian  $-\mathcal{L}_{\mathbf{H}_n}$  on  $\mathbf{H}_n$  under the infinitesimal Schrödinger representation  $d\rho_1$  (of Planck's constant equal to 1) of the Heisenberg Lie algebra  $\mathfrak{h}_n$ .

The Schrödinger representation  $\rho_1$  of  $\mathbf{H}_n$  acting on  $L^2(\mathbb{R}^n)$  and the associated Lie algebra representation, naturally acting on  $\mathcal{S}(\mathbb{R}^n)$ , clearly relate each of the realisations (R1) - (R3) to the others. One can expect that similar realisations should be available for the canonical harmonic oscillator on  $\mathbf{H}_n$ .

## Main Result

The harmonic oscillator  $\mathcal{Q}_{\mathbf{H}_n}$  on the Heisenberg group  $\mathbf{H}_n$  has a purely discrete spectrum  $\text{spec}(\mathcal{Q}_{\mathbf{H}_n}) \subset (0, \infty)$ . The number of its eigenvalues, counted with multiplicities, which are less or equal to  $\lambda > 0$  is asymptotically (as  $\lambda \rightarrow \infty$ ) given by

$$N(\lambda) \sim \lambda^{\frac{6n+3}{2}},$$

and the magnitude of the eigenvalues is asymptotically equal to

$$\lambda_k \sim k^{\frac{2}{6n+3}} \text{ for } k = 1, 2, \dots$$

Moreover, the eigenvectors of  $\mathcal{Q}_{\mathbf{H}_n}$  are in  $\mathcal{S}(\mathbf{H}_n)$  and form an orthonormal basis of  $L^2(\mathbf{H}_n)$ .

Figure 1: The Harmonic Oscillator on  $\mathbf{H}_1$ .

## Definition

The Dynin-Folland Lie group  $\mathbf{H}_{n,2} = \mathbb{R}^{2n+2} \rtimes \mathbf{H}_n$  acts on  $f \in L^2(\mathbf{H}_n)$  by the unitary irreducible representation

$$(\pi(z, y, x)f)(t) = e^{iz} e^{i\langle t \cdot \frac{1}{2}x, y \rangle} f(t \cdot x),$$

where  $t \cdot \frac{1}{2}x$  and  $t \cdot x$  denote the  $\mathbf{H}_n$ -group products of the corresponding coordinate vectors.

For the basis  $\{X_1, \dots, Y_{2n+1}, Z\}$  of its Lie algebra  $\mathfrak{h}_{n,2}$  we define the **harmonic oscillator** on  $\mathbf{H}_n$  by

$$\mathcal{Q}_{\mathbf{H}_n} := d\pi(-\mathcal{L}_{\mathbf{H}_{n,2}}) = -d\pi(X_1)^2 - \dots - d\pi(X_{2n})^2 - d\pi(Y_{2n+1})^2,$$

where  $-\mathcal{L}_{\mathbf{H}_{n,2}}$  is the sub-Laplacian on  $\mathbf{H}_{n,2}$ . Its natural domain includes the smooth vectors  $\mathcal{H}_\pi^\infty \cong \mathcal{S}(\mathbf{H}_n)$ .

## Interpretation

The essentially self-adjoint differential operator  $\mathcal{Q}_{\mathbf{H}_n}$  on  $\mathbf{H}_n$  admits analogues of (R1) – (R3):

- (R1') the differential operator  $-\mathcal{L}_{\mathbf{H}_n} + x_{2n+1}^2$ ;
- (R2') i) the Dynin-Weyl quantization on  $\mathbf{H}_n$  of the symbol  $\sigma(x, \xi) := \xi_1^2 + \dots + \xi_{2n}^2 + x_{2n+1}^2$  with  $(x, \xi) \in \mathbb{R}^{2n+1} \times \widehat{\mathbb{R}}^{2n+1}$ ;
- ii) the Kohn-Nirenberg quantization, in the sense of [3], of the operator-valued symbol on  $\mathbf{H}_n \times \widehat{\mathbf{H}}_n$   $\sigma(x, \rho_\lambda) := -\rho_\lambda(X_1)^2 - \dots - \rho_\lambda(X_{2n})^2 + x_{2n+1}^2$ ;
- (R3') the image  $d\pi(-\mathcal{L}_{\mathbf{H}_{n,2}})$  of the sub-Laplacian  $\mathcal{L}_{\mathbf{H}_{n,2}}$  on  $\mathbf{H}_{n,2}$ , here by definition.

## Methods

$\mathcal{Q}_{\mathbf{H}_n}$  has purely discrete spectrum in  $(0, \infty)$  by [5]. The asymptotic growth rate of its eigenvalues is obtained via a powerful method developed in [8]. The number of eigenvalues (with multiplicities), asymptotically behaves like the volumes of certain subsets of the coadjoint orbit  $\mathcal{O}_\pi \subset \mathfrak{h}_{n,2}^*$  corresponding to representation  $\pi \in \widehat{\mathbf{H}}_n$ . The subsets in question are determined (up to a multiplicative constant) by a (any) homogeneous quasi-norm on  $\mathfrak{g}^*$ . The flatness of  $\mathcal{O}_\pi$  and a convenient choice of quasi-norm facilitate the computations substantially.

## Remark

The power  $\frac{6n+3}{2}$  intimately related to the homogeneous structure of  $\mathfrak{h}_{n,2}$ : the nominator  $6n + 3$  is the homogenous dimension of the first two strata  $\mathfrak{g}_1 \oplus \mathfrak{g}_2 \subseteq \mathfrak{h}_{n,2}$ , while the denominator 2 is the homogeneous degree of  $-\mathcal{L}_{\mathbf{H}_{n,2}}$ .

## References

- [1] Alexander S. Dynin. Pseudodifferential operators on the Heisenberg group. *Dokl. Akad. Nauk SSSR*, 225:1245–1248, 1975.
- [2] Véronique Fischer, David Rottensteiner, and Michael Ruzhansky. Heisenberg-Modulation Space on the Crossroads of Coorbit Theory and Decomposition Space Theory. *Preprint*, 2018. <https://arxiv.org/abs/1812.07876>.
- [3] Veronique Fischer and Michael Ruzhansky. *Quantization on nilpotent Lie groups*, volume 314 of *Progress in Mathematics*. Birkhäuser/Springer, [Cham], 2016.
- [4] Gerald B. Folland. Meta-Heisenberg groups. In *Fourier analysis (Orono, ME, 1992)*, volume 157 of *Lecture Notes in Pure and Appl. Math.*, pages 121–147. Dekker, New York, 1994.
- [5] A. Hulanicki, J. W. Jenkins, and J. Ludwig. Minimum eigenvalues for positive, Rockland operators. *Proc. Amer. Math. Soc.*, 94(4):718–720, 1985.
- [6] David Rottensteiner and Michael Ruzhansky. Harmonic and Anharmonic Oscillators on the Heisenberg Group. *Preprint*, 2018. <https://arxiv.org/abs/1812.09620>.
- [7] David Rottensteiner and Michael Ruzhansky. The Harmonic Oscillators on the Heisenberg Group. *Preprint. To appear in C. R. Math. Acad. Sci. Paris.*, 2020. <https://arxiv.org/abs/2005.12095>.
- [8] A. F. M. ter Elst and Derek W. Robinson. Spectral estimates for positive Rockland operators. In *Algebraic groups and Lie groups*, volume 9 of *Austral. Math. Soc. Lect. Ser.*, pages 195–213. Cambridge Univ. Press, Cambridge, 1997.

Michael Ruzhansky was supported by the FWO Odysseus 1 grant G.0H94.18N: Analysis and Partial Differential Equations, by the EPSRC grant EP/R003025/1 and by the Leverhulme Grant RPG-2017-151.

David Rottensteiner was supported by the FWO Odysseus 1 grant G.0H94.18N: Analysis and Partial Differential Equations and the Austrian Science Fund (FWF) project [I 3403].

## Contact Information

- <https://analysis-pde.org/david-rottensteiner/>
- <https://analysis-pde.org/pseudo-differential-conference/>

