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Global theory of subelliptic pseudo-differential operators and Fourier integral operators on compact Lie groups.

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Joint work with Prof. Dr. Michael Ruzhansky.



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The setting...

- Given a compact Lie group G, and the sub-Laplacian \mathcal{L} associated to a system of vector fields $X = \{X_1, \dots, X_k\}$ satisfying the Hörmander condition, in [CR20], we introduce a (subelliptic) pseudo-differential calculus associated to \mathcal{L} , based on the matrix-valued quantisation process developed previously by Michael Ruzhansky and Ville Turunen.
- [CR20]: Cardona, D., Ruzhansky, M. Subelliptic pseudo-differential operators and Fourier integral operators on compact Lie groups, submitted. arXiv:2008.09651.

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Outline

Introduction and Preliminaries

Main results

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Fourier Transform, the main tool.

1. Pseudo-differential operators.
Fourier transform:

$$\hat{f}(\xi) = \int e^{i2\pi x \cdot \xi} f(x) dx.$$

 \mathbb{R}^{n}
Pseudo-differential operator
(associated to $\nabla \in \mathbb{C}^{\infty}(\mathbb{R}^{n} \times \mathbb{R}^{n}),$
 $T_{\overline{r}} f(x) = \int_{\mathbb{R}^{n}} e^{i2\pi x \cdot \xi} \nabla (x, \xi) \hat{f}(\xi) d\xi.$

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-PDE - Algebraic geometry - Number Eheory



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Subelliptic pseudo-differential operators

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Again, PDE setting
Symbol classes

$$\begin{bmatrix} Tf(x) = \int e^{i2Tx \frac{1}{2}} \sigma(a, \epsilon) \hat{f}(\epsilon) d\epsilon \\ perudo differential operator, \\ T = symbol of T. \\ Hörmander, 1967. (0 \le 8, 1 \le 1) \\ T \in S_{P18}^{m}(\mathbb{R}^{n} \times \mathbb{R}^{n}) \iff |\partial_{x}^{k} \partial_{x}^{k} T(a, \epsilon)| \le C_{4p}(1+|\xi|) \\ \end{bmatrix}$$

Examples 1. Laplace operator: $\Delta = \sum_{j=1}^{N} \partial x_j^{2j}$ $T = \Delta, \quad \sigma(x,\xi) = -4\pi^2 |\xi|^2, \quad \xi \in \mathbb{R}^n,$ $E S^{2} = S^{2}_{1,0}$ 2. Heat operator. $\Box = \partial_{\xi} - \Delta$ $T = \Box, \quad \sigma(x_{1\xi}) = 2\pi i \tau + 4\pi^{2} |\xi|^{2} \in S^{2}_{1,0}$

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 $\overline{Examples}$: $\langle \xi \rangle = (1+|\xi|^2)^{\frac{1}{2}} \sim 1+|\xi|.$

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- There is a well-known formulation of pseudo-differential operators on compact manifolds, (and so on compact Lie groups) by using symbols defined by charts¹.
- 2. If $U \subset \mathbb{R}^n$ is open, the symbol $a: U \times \mathbb{R}^n \to \mathbb{C}$, belongs to the Hörmander class $S^m_{\rho,\delta}(U \times \mathbb{R}^n), \ 0 \leq \rho, \delta \leq 1$, if for every compact subset $K \subset U$, the symbol inequalities,

$$|\partial_x^\beta \partial_\xi^\alpha a(x,\xi)| \leqslant C_{\alpha,\beta,K} (1+|\xi|)^{m-\rho|\alpha|+\delta|\beta|},$$

hold true uniformly in $x \in K$ and $\xi \in \mathbb{R}^n$.

¹Hörmander, L. The Analysis of the linear partial differential operators Vol. III. Springer-Verlag, (1985).

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3. Then, a continuous linear operator $A: C_0^{\infty}(U) \to C^{\infty}(U)$ is a pseudo-differential operator of order m, of (ρ, δ) -type, if there exists a function $a \in S_{\rho,\delta}^m(U \times \mathbb{R}^n)$, satisfying

$$Af(x) = \int_{\mathbb{R}^n} e^{2\pi i x \cdot \xi} a(x,\xi) (\mathscr{F}_{\mathbb{R}^n} f)(\xi) d\xi,$$

for all $f \in C_0^{\infty}(U)$, where

$$(\mathscr{F}_{\mathbb{R}^n}f)(\xi) := \int\limits_U e^{-i2\pi x\cdot\xi}f(x)dx,$$

is the Euclidean Fourier transform of f at $\xi \in \mathbb{R}^n$.

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Subelliptic pseudo-differential operators

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Let M be a closed manifold.

- 4. The class $S^m_{\rho,\delta}(U \times \mathbb{R}^n)$ on the phase space $U \times \mathbb{R}^n$, is invariant under coordinate changes only if $\rho \ge 1 - \delta$, while a symbolic calculus (closed for products, adjoints, parametrices, etc.) is only possible for $\delta < \rho$ and $\rho \ge 1 - \delta$.
- 5. $A: C_0^{\infty}(M) \to C^{\infty}(M)$ is a pseudo-differential operator of order m, of (ρ, δ) -type, $\rho \ge 1 \delta$, if for every local coordinate patch $\omega: M_{\omega} \subset M \to U \subset \mathbb{R}^n$, and for every $\phi, \psi \in C_0^{\infty}(U)$, the operator

$$Tu := \psi(\omega^{-1})^* A\omega^*(\phi u), \ u \in C^{\infty}(U),^2$$

is a pseudo-differential operator with symbol in $S^m_{\alpha\delta}(U \times \mathbb{R}^n)$.

²As usually, ω^* and $(\omega^{-1})^*$ are the pullbacks induced by the maps ω and ω^{-1} , respectively.

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Conclusion:

- 6. In this case we write that $A \in \Psi^m_{a,\delta}(M, \mathsf{loc}), \ \delta < \rho, \rho \ge 1 \delta.$
- 5. To $A \in \Psi^m_{\rho,\delta}(M; \text{loc})$ one associates a (principal) symbol $a \in S^m_{\rho,\delta}(T^*M)$,³ which is uniquely determined, only as an element of the quotient algebra $S^m_{\rho,\delta}(T^*M)/S^{m-1}_{\rho,\delta}(T^*M)$.
- (Q): When, is it possible to define a notion of a global symbol (without using local coordinate systems) allowing a global quantisation formula for the Hörmander class $\Psi^m_{a,\delta}(M; \text{loc})$?

 3 which is a section of the cotangent bundle $T^*M.{\scriptstyle{<}} \amalg {\scriptstyle{>}} {\scriptstyle{<}} \boxplus {\scriptstyle{>}} {\scriptstyle{<}} \boxplus {\scriptstyle{>}} {\scriptstyle{<}} \boxplus {\scriptstyle{>}} {\scriptstyle{<}} \blacksquare {\scriptstyle{>}} {\scriptstyle{>}} {\scriptstyle{<}} \blacksquare$

Let M = G be a compact Lie group. (Ex: G = SU(2)).

Let \widehat{G} be the family of all equivalence classes of continuous and irreducible unitary representations of G.

There is a global definition of symbols on the phase space $G \times \widehat{G}$, that provides global Hörmander classes of symbols $S^m_{\rho,\delta}(G \times \widehat{G})$, $0 \leq \rho, \delta \leq 1$, such that:⁴

 $\begin{array}{l} \blacksquare \ \Psi^m_{\rho,\delta}(G\times\widehat{G}):= \operatorname{Op}(S^m_{\rho,\delta}(G\times\widehat{G})) = \Psi^m_{\rho,\delta}(G;\operatorname{loc}), \ \text{for} \ \ \delta < \rho, \\ \text{ and } \rho \geqslant 1-\delta, \ \text{(this implies that } \rho > \frac{1}{2} \text{)}. \end{array}$

New classes for $\delta \leq \rho$, allowing to study the borderline case $\rho = \delta$.

⁴Ruzhansky, M., Turunen, V. Pseudo-differential Operators and Symmetries: Background Analysis and Advanced Topics Birkhäuser-Verlag, Basel, 2010

Representations on a compact Lie Group.

Unitary Representation: A unitary representation is a continuous mapping

 $\xi \in \mathsf{HOM}(G, U(H_{\xi})), \ \xi(x)\xi(y) = \xi(xy), \ \xi(x)^* = \xi(x)^{-1},$

for some (finite-dimensional) vector space $H = H_{\xi}$. We define by $d_{\xi} = dim(H_{\xi})$ the dimension of ξ .

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Equivalent Representations: Two representations

 $\xi \in \mathsf{HOM}(G, U(H_{\xi})), \eta \in \mathsf{HOM}(G, U(H_{\eta}))$

are equivalent, if there exist a linear bijection $\phi: H_{\xi} \to H_{\eta}$, such that $\forall x \in G, \ \xi(x) = \phi^{-1}\eta(x)\phi$.

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G consists of all equivalence classes of continuous irreducible unitary representations of G.

Fourier Analysis on a compact Lie group G. Peter-Weyl Theorem, 1927.

Let us consider a compact Lie group G with discrete unitary dual \widehat{G} that is, the set of equivalence classes of all continuous irreducible unitary representations of G. We identify $H_{\xi} \cong \mathbb{C}^{d_{\xi}}$, and $\operatorname{Hom}(H_{\xi}) \cong \mathbb{C}^{d_{\xi} \times d_{\xi}}$.

Fourier transform of $f \in C^{\infty}(G)$,

$$(\mathscr{F}f)(\xi) \equiv \widehat{f}(\xi) := \int_G f(x)\xi(x)^* dx \in \mathbb{C}^{d_\xi \times d_\xi}, \ [\xi] \in \widehat{G}.$$
(1.1)

Fourier inversion formula,

$$f(x) = \sum_{[\xi]\in\widehat{G}} d_{\xi} \operatorname{Tr}(\xi(x)\widehat{f}(\xi)).$$
(1.2)

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Fourier Analysis on a compact Lie group G. Peter-Weyl Theorem, 1927.

Let $\sigma := \mathscr{F}(k)$, for some distribution $k \in \mathscr{D}'(G)$. We denote $\mathscr{D}'(\widehat{G}) := \mathscr{F}(\mathscr{D}'(G))$.

The Inverse Fourier transform of σ , at $x \in \widehat{G}$, is defined via

$$(\mathscr{F}^{-1}\sigma)(x) := \sum_{[\xi]\in\widehat{G}} d_{\xi} \operatorname{Tr}(\xi(x)\sigma(\xi)).$$
(1.3)

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Continuous Linear operators on G and the Fourier Transform. We write $\xi(x) = [\xi_{ij}(x)]_{i,j=1}^{d_{\xi}} \in \mathbb{C}^{d_{\xi} \times d_{\xi}}$.

Theorem Let $A: C^{\infty}(G) \to C^{\infty}(G)$ be a continuous linear operator. Then:

$$Af(x) = \sum_{[\xi]\in \widehat{G}} d_{\xi} \operatorname{Tr}[\xi(x)\sigma(x,\xi)(\mathscr{F}f)(\xi)] \ f \in C^{\infty}(G),$$

where

$$\sigma(x,\xi) := \xi(x)^* A \xi(x) := \xi(x)^* [A \xi_{ij}(x)]_{i,j=1}^{d_{\xi}},$$

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Global Pseudo-differential operators on ${\cal G}$

A global pseudo-differential operator T_{σ} associated to a function/distribution $\sigma \in C^{\infty}((G \times \widehat{G}), \bigcup_{[\xi] \in \widehat{G}} \mathbb{C}^{d_{\xi} \times d_{\xi}})^5$ is formally defined by

$$T_{\sigma}f(x) = \sum_{[\xi]\in\widehat{G}} d_{\xi} \operatorname{Tr}(\xi(x)\sigma(x,\xi)\widehat{f}(\xi)), \ x \in G.$$
(1.4)

⁵Observe that for every $(x, [\xi]) \in G \times \widehat{G}, \ \sigma(x, \xi) : H_{\xi} \to H_{\xi}, \ H_{\xi} \cong \mathbb{C}^{d_{\xi}}$.

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(1.4)

The function σ is called the global symbol of the pseudo-differential operator T_{σ} .

⁵Observe that for every $(x, [\xi]) \in G \times \widehat{G}, \sigma(x, \xi) : H_{\xi} \to H_{\xi}, H_{\xi} \cong \mathbb{C}^{d_{\xi}}$.

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The global symbol of the Laplacian

• (Eigenvalues of the Laplacian on compact Lie groups) There exists a non-negative real number $\lambda_{[\xi]}$ depending only on the equivalence class $[\xi] \in \hat{G}$, but not on the representation ξ , such that

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 $\mathcal{L}_G\xi(x) = \lambda_{[\xi]}\xi(x),$

where \mathcal{L}_G is the positive Laplacian on the group G. We define

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$$\mathcal{L}_G\xi(x) = \lambda_{[\xi]}\xi(x),$$

where \mathcal{L}_G is the positive Laplacian on the group G. We define $\langle \xi \rangle = (1 + \lambda_{[\xi]})^{\frac{1}{2}}.$

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The global symbol of the Laplacian. $H_{\xi} \cong \mathbb{C}^{d_{\xi}}$.

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$$\sigma_{\mathcal{L}_G}(x,\xi) = \lambda_{[\xi]} I_{H_{\xi}}.$$

$$\sigma_{(1+\mathcal{L}_G)^{\frac{z}{2}}}(x,\xi) = \langle \xi \rangle^z I_{H_{\xi}}.$$

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The global symbol of a sub-Laplacians on a compact Lie group.

Let $\mathbb{X} = \{X_1, \cdots, X_n\}$ be an o.n.b. of the Lie algebra $\mathfrak{g} \cong T_e G$.



Laplacian:
$$\mathcal{L}_G = -X_1^2 - \cdots - X_n^2$$
.
sub-Laplacian: $\mathcal{L}_X = -X_1^2 - \cdots - X_k^2$, where
 $X = \{X_1, \cdots, X_k\}$ satisfies the Hörmander condition at step
 κ .

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The global symbol of the sub-Laplacian

The matrix-valued function

$$\widehat{\mathcal{L}}(\xi) = [\mathcal{L}(\xi_{ij})]_{i,j=1}^{d_{\xi}}$$

is the global symbol of the sub-Laplacian *L*.
 Definition: (Matrix-valued subelliptic weight)
 M(ξ) := (1 + *L*(ξ))^{1/2}.

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How to define the class $S^m_{\rho,\delta}(G \times \widehat{G})$? of global symbols on $G \times \widehat{G}$? We use difference operators.

If $[\xi_0] \in \widehat{G}$, consider the matrix

$$\xi_0(g) - I_{d_{\xi_0}} = [\xi_0(g)_{ij} - \delta_{ij}]_{i,j=1}^{d_{\xi}}, \quad g \in G.$$
(1.5)

Then, we associated to the function $q_{ij}(g) := \xi_0(g)_{ij} - \delta_{ij}, \quad g \in G$, a difference operator via

$$\mathbb{D}_{\xi_0,i,j} := \mathscr{F}(\xi_0(g)_{ij} - \delta_{ij})\mathscr{F}^{-1} : \mathscr{D}'(\widehat{G}) \to \mathscr{D}'(\widehat{G}).$$
(1.6)

From a sequence $\mathbb{D}_1 = \mathbb{D}_{\xi_0, j_1, i_1}, \cdots, \mathbb{D}_n = \mathbb{D}_{\xi_0, j_n, i_n}$ of operators of this type we define $\mathbb{D}^{\alpha} = \mathbb{D}_1^{\alpha_1} \cdots \mathbb{D}_n^{\alpha_n}$, where $\alpha \in \mathbb{N}^n$.

Elliptic and subelliptic pseudo-differential operators on compact Lie groups. (Recall that $\mathcal{M}(\xi) := (1 + \widehat{\mathcal{L}}(\xi))^{\frac{1}{2}}$).

Elliptic Hörmander classes: $\sigma \in S^m_{\rho,\delta}(G \times \widehat{G})$, if

 $\|\partial_X^{(\beta)} \mathbb{D}^{\alpha}_{\xi} \sigma(x,\xi)\|_{\mathsf{op}} \leqslant C_{\alpha,\beta} \langle \xi \rangle^{m-\rho|\gamma|+\delta|\beta|} \tag{1.7}$

⁶Ruzhansky, M., Turunen, V. Pseudo-differential Operators and Symmetries: Background Analysis and Advanced Topics Birkhäuser-Verlag, Basel, 2010 📱 🔊

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Solution for (Q)⁶ $Op(S^m_{\rho,\delta}(G \times \widehat{G})) = \Psi^m_{\rho,\delta}(G, \mathsf{loc}), \ 0 \leq \delta < \rho \leq 1, \ \rho \geq 1 - \delta.$

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Subelliptic Hörmander classes: $\sigma \in S^{m,\mathcal{L}}_{\rho,\delta}(G \times \widehat{G})$, if,

$\|\widehat{\mathcal{M}}(\xi)^{(\rho|\alpha|-\delta|\beta|-m)}\partial_X^{(\beta)}\mathbb{D}_{\xi}^{\alpha}a(x,\xi)\|_{\mathsf{op}} \le C_{\alpha,\beta}.$

⁶Ruzhansky, M., Turunen, V. Pseudo-differential Operators and Symmetries: Background Analysis and Advanced Topics Birkhäuser-Verlag, Basel, 2010 = ~

Example: Powers of the subelliptic Bessel potential.

Let us denote

$$\Psi^m_{\rho,\delta}(G\times \widehat{G}):= \mathsf{Op}(S^m_{\rho,\delta}(G\times \widehat{G})), \ m\in \mathbb{R}.$$

■ For every $s \in \mathbb{R}$, $\mathcal{B}_s := (1 + \mathcal{L})^{\frac{s}{2}} \in \Psi_{1,0}^s(G \times \widehat{G})$, if s > 0, and $\mathcal{B}_{-s} := (1 + \mathcal{L})^{-\frac{s}{2}} \in \Psi_{1/\kappa,0}^{-s/\kappa}(G \times \widehat{G})$. Here, κ is the step of the Hörmander system $X = \{X_1, \cdots, X_k\}$, and $\mathcal{L} = -\sum_{j=1}^k X_k^2$. ■ For every $z \in \mathbb{C}$, $\mathcal{B}_z := (1 + \mathcal{L})^{\frac{z}{2}} \in \Psi_{1,0}^{s,\mathcal{L}}(G \times \widehat{G})$, $s = \mathfrak{Re}(z)$.

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Introduction and Preliminaries

Main results

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Subelliptic pseudo-differential operators



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The subelliptic pseudo-differential calculus on G.

Define
$$\Psi_{\rho,\delta}^{m,\mathcal{L}}(G \times \widehat{G}) := \{T_{\sigma} : \sigma \in S_{\rho,\delta}^{m,\mathcal{L}}(G \times \widehat{G})\}$$
, for $0 \le \delta \le \rho \le 1$. Then:

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The subelliptic pseudo-differential calculus on G.

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The subelliptic pseudo-differential calculus on G.

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 - (Calderón-Vaillancourt Theorem). $T_{\sigma}: L^2(G) \to L^2(G)$ is bounded if m = 0 and $1 \le \delta \le \rho \le 1, \ \delta < 1/\kappa$.

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- ► (Calderón-Vaillancourt Theorem). $T_{\sigma}: L^2(G) \to L^2(G)$ is bounded if m = 0 and $1 \le \delta \le \rho \le 1, \delta < 1/\kappa$.
- ► (Fefferman L^p -Theorem). Let $1 \le \delta < \rho \le 1$, and let $1 . <math>T_{\sigma} : L^p(G) \to L^p(G)$ is bounded, for all $T_{\sigma} \in S^{-m,\mathcal{L}}_{\rho,\delta}(G \times \widehat{G})$ }, if $m \ge Q(1-\rho) \left| \frac{1}{p} \frac{1}{2} \right|$.

The subelliptic functional calculus on G.

- The subelliptic calculus is stable under the spectral functional calculus of the sub-Laplacian:
 - Let $f \in S^{\frac{m}{2}}(\mathbb{R}^+_0), m \in \mathbb{R}$. Then, for all t > 0, $f(t\mathcal{L}) \in S^{m,\mathcal{L}}_{1,0}(G \times \widehat{G})$.
- The subelliptic calculus is stable under the action of the complex functional calculus.

$$F(A) := -\frac{1}{2\pi i} \oint_{\partial \Lambda_{\varepsilon}} F(z) (A - zI)^{-1} dz.$$
 (2.1)

Let m > 0, and let 0 ≤ δ < ρ ≤ 1. Let a ∈ S^{m,L}_{ρ,δ}(G × Ĝ) be a parameter L-elliptic symbol with respect to Λ. Let us assume that F satisfies the estimate |F(λ)| ≤ C|λ|^s uniformly in λ, for some s ∈ ℝ. Then σ_{F(A)} ∈ S^{ms,L}_{ρ,δ}(G × Ĝ), for F satisfying some suitable conditions.

Suitable conditions mean:

- (CI). $\Lambda_{\varepsilon} := \Lambda \cup \{z : |z| \leq \varepsilon\}, \varepsilon > 0$, and $\Gamma = \partial \Lambda_{\varepsilon} \subset \operatorname{Resolv}(A)$ is a positively oriented curve in the complex plane \mathbb{C} .
- (CII). F is an holomorphic function in $\mathbb{C} \setminus \Lambda_{\varepsilon}$, and continuous on its closure.
- (CIII). We will assume decay of F along $\partial \Lambda_{\varepsilon}$ in order that the operator (2.1) will be densely defined on $C^{\infty}(G)$ in the strong sense of the topology on $L^{2}(G)$.

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Fefferman L^p -theorem on G.

■ (Elliptic Fefferman L^p -Theorem: Delgado and Ruzhansky). Let $1 \le \delta < \rho \le 1$, and let $1 . <math>T_{\sigma} : L^p(G) \to L^p(G)$ is bounded, for all $T_{\sigma} \in S^{-m}_{\rho,\delta}(G \times \widehat{G})$ }, if and only if,

$$m \ge n(1-\rho) \left| \frac{1}{p} - \frac{1}{2} \right|$$

 $\begin{array}{l} \hline & (\text{Subelliptic Fefferman } L^p\text{-Theorem}). \text{ Let } 1 \leq \delta < \rho \leq 1, \text{ and} \\ \text{let } 1 < p < \infty. \ T_{\sigma} : L^p(G) \rightarrow L^p(G) \text{ is bounded, for all} \\ T_{\sigma} \in S^{-m,\mathcal{L}}_{\rho,\delta}(G \times \widehat{G}) \}, \text{ if,} \end{array}$

$$m \ge Q(1-\rho) \left| \frac{1}{p} - \frac{1}{2} \right|.$$

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Applications:

(subelliptic Garding Inequality).

$$\mathsf{Re}(a(x,D)u,u) \ge C_1 \|u\|_{L^{2,\mathcal{L}}_{\frac{m}{2}}(G)} - C_2 \|u\|^2_{L^2(G)}.$$

Well-posedness for the Cauchy problem

$$(\mathsf{PVI}): \begin{cases} \frac{\partial v}{\partial t} = K(t, x, D)v + f, \\ v(0) = u_0, v \in \mathscr{D}'((0, T) \times G) \end{cases}$$
(2.2)

Asymptotic expansions in spectral geometry

$$\operatorname{Tr}(A\psi(tE)) = t^{-\frac{Q+m}{q}} \left(\sum_{k=0}^{\infty} a_k t^k\right) + \frac{c_Q}{q} \int_0^{\infty} \psi(s) \times \frac{ds}{s}.$$

Classification in Dixmier ideals, Sharp-L^p-estimates for oscillatory Fourier multipliers.

- Ruzhansky, M., Turunen, V. Pseudo-differential Operators and Symmetries: Background Analysis and Advanced Topics Birkhaüser-Verlag Basel, (2010).
- Hörmander, L. The Analysis of the linear partial differential operators Vol. III. Springer-Verlag, (1985)
- Cardona, D. Ruzhansky, M. Subelliptic pseudo-differential operators and Fourier integral operators on compact Lie groups, arXiv:2008.09651.

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