

PERTURBATION OF DIRICHLET FORMS

Some Results and Questions

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GHENT 2023

LECTURE I

GENERAL PLAN

LECTURE I :

- * Metric and differential structures
- * Perturbation of measures
- * Dirichlet forms
- * Orlicz spaces basics

LECTURE II :

- * Coercive bounds
- * Poincare and log-Sobolev
- * Hypercontractivity in Orlicz spaces // Time Non-homogeneous Diffusions
- * Higher Order Coercive Inequalities
- * Extended Gradient Bounds

LECTURE III :

- * Noncommutative L_p spaces
- * Dirichlet forms in their perturbation
- * Perturbation of Noncommutative Poincare and log-Sobolev

LECTURE I :CONTENT

* METRIC AND DIFFERENTIAL STRUCTURES

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* PERTURBATION OF MEASURES

» *.2

* DIRICHLET FORMS

» *.3

* ORLICZ SPACES BASICS

» *.3

METRIC AND DIFFERENTIAL STRUCTURES

* Space $\Omega \equiv \mathbb{R}^n$.

Natural (*abelian*) addition of vectors & scaling

$$\mathbf{x} \oplus \mathbf{y} \equiv (x_j + y_j)_{j=1,\dots,n}$$

$$\lambda \odot \mathbf{x} \equiv (\lambda \cdot x_j)_{j=1,\dots,n}$$

Metrics given by l_p norms $p \in [1, \infty]$:

$$\|\mathbf{x}\|_p \equiv \left(\sum_{j=1,\dots,n} |x_j|^p \right)^{\frac{1}{p}} \quad \text{if } p \in [1, \infty)$$

$$\|\mathbf{x}\|_\infty \equiv \max_{j=1,\dots,n} |x_j|$$

Homogeneity and triangle inequality

$$\|\lambda \odot \mathbf{x}\|_p = |\lambda| \cdot \|\mathbf{x}\|_p$$

$$\|\mathbf{x} \oplus \mathbf{y}\|_p \leq \|\mathbf{x}\|_p + \|\mathbf{y}\|_p$$

EQUIVALENCE OF METRICS

$$\rho_p(\mathbf{x}, \mathbf{y}) \equiv \|\mathbf{x} - \mathbf{y}\|_p$$

$$\forall p_1, p_2 \in [1, \infty] \exists C \in (0, \infty)$$

$$\frac{1}{C} \rho_{p_2}(\mathbf{x}, \mathbf{y}) \leq \rho_{p_1}(\mathbf{x}, \mathbf{y}) \leq C \rho_{p_2}(\mathbf{x}, \mathbf{y})$$

* Gauge metrics :

Fix a convex set $\mathcal{O}$ and define

$$\|\mathbf{x}\|_{\mathcal{O}} = \inf\{\lambda > 0 : \lambda^{-1} \odot \mathbf{x} \in \mathcal{O}\}$$

Non-homogeneous Space

$$\lambda \odot \mathbf{x} \equiv (\lambda^{\kappa_j} x_j)_{j=1,\dots,r}$$

with $1 \leq \kappa_1 \leq \dots \leq \kappa_r$.

$$\lambda \odot \mathbf{x} \equiv (\lambda \cdot x_j)_{j=1,\dots,n}$$

Adapted metrics

$$\rho_{p,\kappa}(\mathbf{x}, \mathbf{y}) \equiv \left(\sum_{j=1,\dots,r} |x_j - y_j|^{\frac{p}{\kappa_j}} \right)^{\frac{1}{p}} \equiv \|\mathbf{x} \ominus \mathbf{y}\|_{p,\kappa}$$

Homogeneous norm

$$\|\lambda \odot \mathbf{x}\|_{p,\kappa} = |\lambda| \cdot \|\mathbf{x}\|_{p,\kappa}$$

E.g. $r = 3$, $p = 4$, $\kappa = (1, 2)$

$$N(x, y, z) \equiv \|(x, y, z)\|_{4,\kappa} = \left((x^2 + y^2)^2 + 16z^2 \right)^{\frac{1}{4}}$$

FAMILIES OF METRICS

- * positive linear combinations of metrics
- * If $F(s, t)$ is jointly concave and vanishes at zero then for any metrics $\rho, \tilde{\rho}$, also $F(\rho, \tilde{\rho})$ is a metric.
(e.g. $F(s, t) = f(\frac{s}{h(t)})h(t)$ for perspective function f and a concave $h > 0$.)

E.g.

- * Arithmetic and geometric means generate new metrics.
- * A metric plus a semimetric = metric

$$\rho(\mathbf{x}, \mathbf{y}) + F(\mathbf{x}, |y_j|)$$

for some j .

- * Use homogeneous norms

$$\text{e.g.1} \quad (d^p + N^p)^{\frac{1}{p}}$$

$$\text{e.g.2} \quad \left(\sum_{l=1, \dots, m} \rho_l^p \right)^{\frac{1}{p}}$$

METRIC DEFINED BY LENGTH OF A CURVE

$$\rho(\mathbf{x}, \mathbf{y}) \equiv \inf \{l(\gamma_{\mathbf{x}, \mathbf{y}})\}$$

where l denotes a length function $\equiv$ an additive nonnegative function on some family of allowed paths.

FIELDS $\equiv$ DIFFERENTIAL OPERATORS

* Gradient $\nabla \equiv (\partial_j \equiv \partial_{x_j})_{j=1,\dots,n}$ in $\mathbb{R}^n$

**Smooth and nonsmooth metrics

$\|\cdot\|_p$, $p \in (1, \infty)$ are smooth out of origin.

*Eikonal equation

For $p, q \in (1, \infty)$, dual $\frac{1}{p} + \frac{1}{q} = 1$

$$\|\nabla\|\mathbf{x}\|_p\|_q = 1$$

for $\mathbf{x} \neq \mathbf{0}$.

FIELDS $\equiv$ DIFFERENTIAL OPERATORS

* SubGradient $\nabla \equiv (X_j \equiv \partial_{x_j} + \sum_{l>j} a_l \partial_{x_j})_{j=1,\dots,k}$, $k < n$ in $\mathbb{R}^n$,
 a_l smooth.

* Assumption : Multiple commutators

$$[X_{j_1}, [X_{j_2}, \dots [X_{j_{m-1}}, X_{j_m}]]]$$

of finite order $m \in \mathbb{N}$ span all tangent space.

E.g. $\mathbb{R}^3 \nabla_{\mathbb{H}} \equiv (X, Y)$

$$X = \partial_x + 2y\partial_z \quad Y = \partial_y - 2x\partial_z$$

Then

$$[X, Y] = 4\partial_z$$

Kaplan homogeneous norm

$$N(x, y, z) \equiv \left((x^2 + y^2)^2 + 16z^2 \right)^{\frac{1}{4}}$$

is smooth and we have

$$\|\nabla_{\mathbb{H}} N\|_2 = \frac{|(x, y)|}{N}$$
$$\Delta_{\mathbb{H}} N^{-2} \equiv (X^2 + Y^2)N^{-2} = 0, \quad a.e.$$

[http://www-sop.inria.fr/members/James.Inglis/
Site/Publications_files/Inglis_THESIS_FINAL.pdf](http://www-sop.inria.fr/members/James.Inglis/Site/Publications_files/Inglis_THESIS_FINAL.pdf)

CONTROL DISTANCE

* **Chow-Rashevski Theorem:** Every two points in $\mathbb{R}^n$ can be connected by a path which is piecewise smooth with tangent vectors in $\text{Span}(\nabla)$.

* Length of a curve

$$l(\gamma_{\mathbf{x},\mathbf{y}}) = \int_0^1 \|X_{\gamma(t)}\|_2 dt$$

* Control Distance

$$d(\mathbf{x}, \mathbf{y}) \equiv \inf l(\gamma_{\mathbf{x},\mathbf{y}})$$

* Eikonal equation

$$\|\nabla_{\mathbb{H}} d\|_2 = 1$$

* Control distance is not smooth

$$\Delta_{\mathbb{H}} d(0, \mathbf{x}) \rightarrow_{\|(x,y)\|_2 \rightarrow 0} -\infty$$

NILPOTENT LIE GROUPS

$$\mathbf{x} \circ \mathbf{y} = (x_j + y_j + P_j(\mathbf{x}, \mathbf{y}))_{j=1, \dots, n}$$

E.g. HEISENBERG GROUP

$$(x, y, z) \circ (x', y', z') = (x + x', y + y', z + z' + a(x'y - xy'))$$

* Noncommutative Discrete Infinite Coxeter Group associated to Nilpotent Lie Groups.

PERTURBATION OF MEASURES

$$d\mu = e^{-U} d\lambda$$

*Quasi invariant measures

$$\frac{d\mu(\cdot \circ e^{tX_j})}{d\mu}$$

* Associated Unitary Group and CCRs

► Start

Nice Orlicz functions

$$\Phi : \mathbb{R} \rightarrow \mathbb{R}$$

$$\Phi(x) = \int_0^{|x|} \phi(s) ds$$

where $\phi : \mathbb{R}^+ \rightarrow \mathbb{R}^+$, $\phi(0) = 0$ and otherwise $\phi > 0$,
and $\phi(s) \rightarrow_{s \rightarrow \infty} \infty$.

Complementary Function

$$\Psi(y) = \int_0^{|y|} \psi(s) ds$$

where

$$\psi(t) = \inf\{s \geq 0 : \phi(s) \geq t\}$$

Young's Inequality

$$xy \leq \Phi(x) + \Psi(y)$$

Luxemburg norm

$$\|X\|_{\Phi} = \inf\{\lambda > 0 : E\Phi(X/\lambda) \leq 1\}.$$

Normalisation Property

$$E\Phi\left(\frac{X}{\|X\|_{\Phi}}\right) = 1 \quad (\star)$$

Tail Estimates I.

If Φ is **N-function** and $0 < \|X\|_{\Phi} < \infty$, then

$$P(|X| \geq t) \leq \frac{1}{\Phi(t/\|X\|_{\Phi})}$$

► Skip MaxEstimates

Max Estimates $\max_{1 \leq i \leq n} |X_i|$

Let Φ be a strictly increasing, non-zero function,
s.t. $\Phi(1) \leq 1/2$ and

$$\exists c > 0 \forall x, y \geq 1, \quad \Phi(x)\Phi(y) \leq \Phi(cxy).$$

Then for any $n \in \mathbb{N}$

$$\left\| \max_{1 \leq i \leq n} X_i \right\|_{\Phi} \leq c \Phi^{-1}(2n) \max_{1 \leq i \leq n} \|X_i\|_{\Phi} \quad (*)$$

If Φ is a strictly increasing, convex, non-zero function,
then for any $n \in \mathbb{N}$

$$E \left(\max_{1 \leq i \leq n} |X_i| \right) \leq \Phi^{-1}(n) \max_{1 \leq i \leq n} \|X_i\|_{\Phi} \quad (**)$$

Proof: The assumption says that for $x \geq y \geq 1$ we have

$$\Phi\left(\frac{x}{y}\right) \leq \frac{\Phi(cx)}{\Phi(y)}$$

Thus, for $y \geq 1$ and any $C > 0$ using the monotonicity of Φ

$$\max_{1 \leq i \leq n} \Phi\left(\frac{|X_i|}{Cy}\right) \leq \Phi(1) + \sum_{i=1}^n \frac{\Phi(c|X_i|/C)}{\Phi(y)}$$

For $C = c \max_{1 \leq i \leq n} \|X_i\|_\Phi$ we get

$$\begin{aligned} E\Phi\left(\frac{\max_{1 \leq i \leq n} X_i}{Cy}\right) &\leq E \max_{1 \leq i \leq n} \Phi\left(\frac{X_i}{Cy}\right) \\ &\leq \frac{n}{\Phi(y)} + \Phi(1) \leq \frac{n}{\Phi(y)} + \frac{1}{2} \leq 1 \end{aligned}$$

where the last inequality holds iff $2n \leq \Phi(y)$.

To get the second part of this lemma where Φ convex note that due to Jensen's inequality we have (w.l.o.g. $X_i \geq 0$)

$$\frac{1}{C} E \max_{1 \leq i \leq n} X_i \leq \Phi^{-1} \left(E \max_{1 \leq i \leq n} \frac{\Phi(X_i)}{C} \right) \leq \Phi^{-1}(n)$$



Exponential Luxemburg norms

DEFINITION :

For any $\alpha \in (0, \infty)$ we define

$$\Psi_\alpha(x) = \exp(|x|^\alpha) - 1$$

and the corresponding α -exponential Luxemburg norm as

$$\|X\|_{\Psi_\alpha} = \inf\{c > 0 : E \exp(|X|^\alpha/c^\alpha) \leq 2\}$$

Tail Estimates II

For any random variable X with

$$0 < \|X\|_{\Psi_\alpha} < \infty$$

and $t > 0$ we have

$$P(|X| \geq t) \leq 2 \exp(-t^\alpha / \|X\|_{\Psi_\alpha}^\alpha)$$

» LuxNorm

Observations

- (1) If one replaces a Luxemburg norm by some convenient estimate from above, one still gets a tail estimates.
- (2) If one replaces X by $X - EX$ we get concentration estimates.
- (3) More generally, given a space $\mathcal{P}$ of R.V.s , one can study $X - w$, for $w \in \mathcal{P}$.

How to get bounds on Luxemburg Norms

COERCIVE INEQUALITIES

► Start

● Poincaré Inequality

$$m E(f - Ef)^2 \leq E|\nabla f|^2, \quad (\text{PI}_2)$$

with

$$E(f - Ef)^2 = \inf_{a \in \mathbb{R}} E(f - a)^2$$

H. Poincaré (Eqn (11) p. 253), « Sur les Equations aux Dérivées Partielles de la Physique Mathématique »,

Amer. J. of Math. vol. 12, no 3, 1890, pp. 211–294, doi10.2307/2369620

([BE'1985]..., [Antoniuk Antoniuk'1993] ...[V'06],[BCG'08],[HZ'09],...)

► Start

Facts

- [HZ'09]

Let $dE = e^{-U} d\lambda$ with U locally bounded. Let $q \in [1, 2]$.

If $\exists 0 \leq \eta(x) \xrightarrow{\text{dist}(0,x) \rightarrow \infty} \infty$ (no matter how slowly)

and $\exists C, D \in (0, \infty)$

$$E(\eta|f|^q) \leq C E|\nabla f|^q + D E|f|^q, \quad (\star)$$

then $\exists m \in (0, \infty)$ s.t.

$$m \inf_{a \in \mathbb{R}} E|f - a|^q \leq E|\nabla f|^q \quad (\text{IP}_q)$$

- If (IP_q) holds, then for every Lip function f with finite first moment there exists $\varepsilon > 0$ s.t.

$$E e^{\varepsilon|f|} < \infty$$

i.e. Lip functions (with finite 1st moment) belong to Orlicz space with exp norm.

- Poincaré Inequality with a Weight

([BL'1976],...,[\[Antoniuk Antoniuk'1993\]](#),...,[\[HZ'09\]](#),...)

$$m E|f - Ef|^q \leq E \frac{|\nabla f|^q}{W}, \quad q \geq 1 \quad (\text{IP}_{q,W})$$

Facts ○ [HZ'09]

Let $dE = e^{-U} d\lambda$ with U locally bounded. Let $q \in [1, 2]$.

If $\exists 0 \leq \eta(x), \frac{|\nabla \eta|^q}{\eta^{q+\delta}} \xrightarrow{\text{dist}(0,x) \rightarrow \infty} \infty$ (with some $0 < \delta < 1$)

and $\exists C, D \in (0, \infty)$

$$E(\eta|f|^q) \leq C E|\nabla f|^q + D E|f|^q, \quad (\star)$$

then $\exists m \in (0, \infty)$ s.t.

$$m \inf_{a \in \mathbb{R}} E|f - a|^q \leq E \frac{|\nabla f|^q}{W} \quad (\text{IP}_{q,W})$$

○ If $(\text{IP}_{q,W})$ holds, then for every Lip_W function f
i.e. $\|\frac{|\nabla f|^q}{W}\|_\infty < \infty$, (with $E|f| < \infty$), there exists $\varepsilon > 0$ s.t.

$$E e^{\varepsilon|f|} < \infty$$

i.e. Lip_W functions (with $E|f| < \infty$) belong to
Orlicz space with exp norm.

- Higher order Poincaré inequalities

$\exists m \in (0, \infty)$ s.t.

$$m_k \inf_{w \in \mathcal{P}_k} E|f - w|^q \leq E|\nabla^k f| \quad (\text{IP}_{q,k})$$

where $k \in \mathbb{N}$ and $\mathcal{P}_k$ denotes space of polynomials of order k ,
and

$$|\nabla^k f| \equiv \sum_{|\alpha|=k} |\nabla^\alpha f|^q$$

Facts

- [WZ'21] **Downhill Induction L_q -Case.**

$$\forall k \in \mathbb{N} \quad (\text{IP}_q) \implies (\text{IP}_{q,k})$$

Theorem

For $q \in (1, \infty)$, there exists $C_{k,q} \in (0, \infty)$ and a polynomial $m_{k,q}(f)$ of order $k-1$, such that

$$\mu |f - m_{k,q}(f)|^q \leq C_{k,q} \sum_{|\alpha|=k} \mu |\nabla^\alpha f|^q. \quad (PI_{k,q})$$

Assume that $(PI_{q,1})$ holds. Then

$$\begin{aligned}
 \sum_{|\alpha|=k} \mu |\nabla^\alpha f|^q &= \sum_{|\beta|=k-1} \sum_j \mu |\nabla_j \nabla^\beta f|^q \\
 &\geq C_{1,q}^{-1} \sum_{|\beta|=k-1} \mu |\nabla^\beta f - M_{1,q}(\nabla^\beta f)|^q \\
 &= C_{1,q}^{-1} \sum_{|\beta|=k-1} \mu |\nabla^\beta (f - B_{1,q,k-1}(f))|^q
 \end{aligned}$$

with

$$B_{1,q,k-1}(f) \equiv \sum_{|\beta|=k-1} \frac{x^\beta}{\beta!} M_{1,q}(\nabla^\beta f)$$

Statistical Polynomials $m_{k,q}(f)$

Questions :

What is the structure & possible applications of $m_{k,q}(f)$?

Are they orthogonal for different values of k if $q = 2$?

Facts CND

- Similar techniques based on ★-bounds for $(\mathbb{P}_{q,k})$ and

$$m \inf_{\mathcal{P} \in \mathcal{P}_{k-1}} E|f - \mathcal{P}|^q \leq E \left(\frac{|\nabla^k f|^q}{W} \right) \quad (\mathbb{P}_{q,k,W})$$

Facts CND



$$\forall k \in \mathbb{N} \quad (\text{IP}_{q,k}) \implies \text{ExpBounds}$$

Suppose $\|\frac{|\nabla^k f|^q}{W}\|_\infty < \infty$

$$\exists \varepsilon > 0 \quad E e^{\varepsilon |f - m_{k,q}(f)|} < \infty$$

S. G. Bobkov, F. Gotze, H. Sambale, Higher Order Concentration of Measure, Commun. Contemporary Math., Vol. 21, No. 03 (2018)

<https://doi.org/10.1142/S0219199718500438>

Friedrich Götze and Holger Sambale, Higher Order Concentration in Presence of Poincaré-Type Inequalities, Ch. 6, in "High Dimensional Probability VIII, The Oaxaca Volume", Birkhäuser 2019, Eds. N.Gozlan, R.Latała, K.Lounici, M.Madiman,

<https://doi.org/10.1007/978-3-030-26391-1>

Multiparticle decay

Higher order estimates for Gaussian semigroup Let

$$L = \Delta - x \cdot \nabla \quad \text{and} \quad P_t = e^{tL}.$$

Then we have

Theorem

$$|\nabla^\alpha P_t f|^2 \leq e^{-2|\alpha|} P_t |\nabla^\alpha f|^2$$

Hence with the corresponding invariant Gaussian measure γ , we have

$$\int |\nabla^\alpha P_t f|^2 d\gamma \leq e^{-2|\alpha|} \int |\nabla^\alpha f|^2 d\gamma$$

►► Skip Proof/Jump to Optima

Proof In the case of interest to us it is well known that the kernel is smooth.

Following an idea of Bakry-Emery, we have

$$\partial_s P_{t-s} |\nabla^\alpha P_s f|^2 = P_{t-s} \left(-L |\nabla^\alpha P_s f|^2 + 2 \nabla^\alpha L P_s f \cdot \nabla^\alpha P_s f \right)$$

and so

$$\begin{aligned} \partial_s P_{t-s} |\nabla^\alpha P_s f|^2 \\ = P_{t-s} \left(\left(-L |\nabla^\alpha P_s f|^2 + 2 \nabla^\alpha P_s f \cdot L \nabla^\alpha P_s f \right) + 2 [\nabla^\alpha, L] P_s f \cdot \nabla^\alpha P_s f \right). \end{aligned}$$

Since

$$[\nabla, L] = -\nabla,$$

using the following inductive formula

$$[\nabla^n, L] \equiv \nabla [\nabla^{n-1}, L] + [\nabla, L] \nabla^{n-1},$$

we have

$$[\nabla^n, L] = -n \nabla^n.$$

Hence for a component ∇^α , we get

$$[\nabla^\alpha, L] = -|\alpha| \nabla^\alpha.$$

Using the fact that the Markovian form of L satisfies

$$L(g^2) - 2gLg = 2|\nabla g|^2 \geq 0,$$

we obtain the following differential inequality

$$\partial_s P_{t-s} |\nabla^\alpha P_s f|^2 \leq -2|\alpha| P_{t-s} (|\nabla^\alpha P_s f|^2),$$

thereby concluding

$$|\nabla^\alpha P_t f|^2 \leq e^{-2|\alpha|} P_t |\nabla^\alpha f|^2$$

Accordingly, with the corresponding invariant Gaussian measure γ , we have

$$\int |\nabla^\alpha P_t f|^2 d\gamma \leq e^{-2|\alpha|} \int |\nabla^\alpha f|^2 d\gamma$$



Optimal higher order Poincaré constants for O-U

Note that for Hermite polynomials H_k , $k \in \mathbb{N}$, in one dimensions, we have

$$\nabla H_k(t) = \sqrt{k} H_{k-1}(t).$$

and hence

$$\nabla^n H_k(t) = \sqrt{k \cdots (k-n)} H_{k-n}(t) \quad \text{for all } k \geq n.$$

Thus, using representation

$$f = \sum_{k \in \mathbb{N}} f_k H_k$$

with respect to the O-N basis of Hermite polynomials, we compute

$$\int |\nabla^n f|^2 d\gamma = \sum_{k \geq n+1} k..(k-n) |f_{k-n}|^2 \geq n! \int |f - M_{2,n,\gamma}(f)|^2 d\gamma$$

where in $\mathbb{L}_2(\gamma)$, we have

$$M_{2,n,\gamma}(f) = \sum_{k \leq n} f_k H_k.$$

The optimal k -th order Poincare Inequality for O-U

Theorem

$$n! \int |f - M_{2,n,\gamma}(f)|^2 d\gamma \leq \int |\nabla^n f|^2 d\gamma.$$

Remark: This is better than Downhill Induction gives.

Entropy Estimates and Unrestricted Exp Bounds

► Start

LOG-SOBOLEV INEQUALITY

[Stamm'59], [Federbush'69][Gross'75],..., [JRosen'76], [Adams'79],..., [B-E'85],...

$$E\left(f^2 \log \frac{f^2}{Ef^2}\right) \leq c E|\nabla f|^2$$



$$\|(f - Ef)^2\|_{\Phi} \leq c' E|\nabla f|^2$$

with $\Phi(x) = |x| \log(1 + |x|)$, [BG'JFA99].

M. Ledoux, Logarithmic Sobolev Inequalities, *what they are, some history analytic, geometric, optimal transportation proofs, last decade developments, at the interface between analysis, probability, geometry*
<https://www.math.univ-toulouse.fr/~ledoux/Logsobwpause.pdf>

Entropy Bounds & Exponential Moments

If , for $q \in (1, \infty)$,

$$E \left(|f|^q \log \frac{|f|^q}{E|f|^q} \right) \leq c E |\nabla f|^q$$

then

$$E e^{tf} \leq \exp \left\{ \text{const} \cdot t^q \|\nabla f\|_\infty^q + E f \right\}$$

Proof Idea

$$E\left(e^{tf} \log \frac{e^{tf}}{Ee^{tf}}\right) \leq \frac{c}{q^q} t^q E(|\nabla f|^q e^{tf})$$



$$\frac{d}{dt} \left(\frac{1}{t} \log Ee^{tf} \right) \leq \frac{c}{q^q} t^{q-2} \|\nabla f\|_\infty^q$$



$$Ee^{tf} \leq \exp \left\{ \frac{c}{q^q(q-1)} t^q \|\nabla f\|_\infty^q + Ef \right\}$$

M. Ledoux, Remarks on logarithmic Sobolev constants, exponential integrability and bounds on the diameter, J. Math. Kyoto Univ. (JMKYAZ) 35-2 (1995) 211-220 <https://perso.math.univ-toulouse.fr/ledoux/files/2019/10/Kyoto.pdf>

S.G.Bobkov and B.Zegarlinski, Entropy bounds and isoperimetry, Mem.Amer.Math. Soc. 2005; Vol.176, Nr 829

<http://www.ams.org/books/memo/0829>

Generalised Entropy Bounds

Let

$$d\mu \equiv e^{-U} d\lambda$$

Adams Regularity Conditions: *[Adams' JFA1979]*

$\exists \varepsilon, C \in (0, \infty)$

$$\sum_{|\alpha|=2} |\nabla^\alpha U| \leq C(1 + |\nabla U|)^{2-\varepsilon}. \quad (\text{ARC})$$

Remark :

◦ Note that in particular we have

$$LU \leq C(1 + |\nabla U|)^{2-\varepsilon} - |\nabla U|^2.$$

where

$$Lf = \Delta f - \nabla U \cdot \nabla f$$

Smooth Orlicz functions

Let

$$\Phi_{A,p}(t) = |t|^p \prod_{j=1}^n (\log_j^*(|t|))^{p_j} \equiv |t|^p A(\log^* t),$$

and

$$\Phi(t) = t \prod_{j=1}^n (\log_j(\gamma_j + |t|))^{p_j} \equiv t \Theta(t).$$

Then there exists $C \in (0, \infty)$ s.t.

$$\Phi(|x|^p) \leq C \Phi_{A,p}(|x|).$$

Lemma

Suppose the following Adams Inequality holds

$$\mu(\Phi_{A,p}(f)) \leq \tilde{C}_A \mu |\nabla^k f|^p + \tilde{D}_A \Phi_{A,p}(\|f\|_p^p) \quad (\text{AI})$$

with some $\tilde{C}_A, \tilde{D}_A \in (0, \infty)$ independent of f . Then

$$\| |f|^p \|_{\Phi} \leq C' \mu |\nabla^k f|^p + D' \|f\|_p^p. \quad (\text{AOI})$$

with some $C', D' \in (0, \infty)$ independent of f .

Theorem

If the following (p, k) -Poincaré inequality holds

$$\|(f - M_{p,k}(f))\|_p^p \leq c_{p,k} \mu |\nabla^k f|^p \quad (\text{PI}_{p,k})$$

with some $c_{p,k} \in (0, \infty)$ for all f for which the r.h.s. is well defined, then the following tight (Φ, p, k) -Inequality holds

$$\| |f - M_{p,k}(f)|^p \|_{\Phi} \leq C \mu |\nabla^k f|^p. \quad (\text{OSI})$$



Class Ω

If we have with $\alpha \in (1, \infty)$

$$p.p.N(u) = \frac{|u|^\alpha}{\alpha} \prod_{k=1}^n (\log_k |u|)^{\gamma_k}$$

then with $\frac{1}{\alpha} + \frac{1}{\beta} = 1$

$$p.p.N^*(u) = \frac{|u|^\beta}{\beta} \left(\prod_{k=1}^n (\log_k |u|)^{-\gamma_k} \right)^{\beta-1}$$

Questions:

- For $q = 2$, what are the optimal estimates

$$\|P_t(f - M_n(f))\|_2^2 \leq e^{-m_n t} \|f - M_n(f)\|_2^2$$

-

$$\|P_t(f - M_n(f))\|_\Phi \leq e^{-\varepsilon_n t} \|f - M_n(f)\|_\Phi$$

- Description of Invariant subspaces and Martingales.
- Analysis on Nilpotent Lie Groups:

Kaplan versus Carnot-Caratheodory.

No Adams regularity for $U(d)$ with C-C distance d , ($|\nabla d| = 1$).

No Log-Sobolev with Kaplan Distance_[HZ'09] : (and any smooth homogeneous norm),

Some $(\text{Log})^\beta$ -Sobolev _[EBZ'21-22] and Poincaré Inequality are still OK:)_{[In'10],[CFZ'21]}

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- E.Bou Dagher and B.Zegarliniski, Coercive Inequalities and U-Bounds on Step-Two Carnot Groups, Potential Analysis 2021 <https://doi.org/10.1007/s11118-021-09979-0>
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TIME NON HOMOGENEOUS PROCESSES WITH VARIABLE SMOOTHING PROPERTIES

► Start

+CONTRACTIVITY ALONG ORLICZ SPACES

Let $(\mathbb{L}_{\Phi_t})_{t \in \mathbb{R}^+}$ be a nested family of Orlicz spaces.

- For a Markov semigroup $(P_t)_{t \in \mathbb{R}^+}$ defined in the given family of Orlicz spaces, when do we have the following strong contractivity property ?

$$\|P_t f\|_{\Phi_t} \leq \|f\|_{\Phi_0}.$$

- Can we also consider variable family $(P_t^{(\mathbf{t})})_{t \in \mathbb{R}^+}$ semigroups

$$\|P_t^{(\mathbf{t})} f\|_{\Phi_t} \leq \|f\|_{\Phi_0}.$$

○ C.Roberto and B.Zegarliniski, Hypercontractivity for Markov Semigroups, J. Funct. Analysis (2022)

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Theorem Let $(\Phi_t)_{t \geq 0}$ be a family of $\mathcal{C}^2$ Young functions. Assume that for some $t, s \geq 0$ there exist two positive constants $C(t, s)$ and $\widetilde{C}(t, s)$ such that

(i)

$$\dot{\Phi}_t(\Phi_t^{-1}) \leq C(t, s) \dot{\Phi}_s(\Phi_s^{-1}),$$

(ii)

$$\frac{\Phi_t''}{\Phi_t'^2} \circ \Phi_t^{-1} \geq \widetilde{C}(t, s) \frac{\Phi_s''}{\Phi_s'^2} \circ \Phi_s^{-1}.$$

Assume that for some $c \in (0, \infty)$ and for any f (smooth enough), it holds

$$\|f\|_{\Phi_s}^2 \int \dot{\Phi}_s\left(\frac{f}{\|f\|_{\Phi_s}}\right) d\mu \leq c \int \Phi_s''\left(\frac{f}{\|f\|_{\Phi_s}}\right) |\nabla f|^2 d\mu. \quad (1)$$

Then, for any f smooth enough it holds

$$\|f\|_{\Phi_t}^2 \int \dot{\Phi}_t\left(\frac{f}{\|f\|_{\Phi_t}}\right) d\mu \leq c \frac{C(t, s)}{\widetilde{C}(t, s)} \int \Phi_t''\left(\frac{f}{\|f\|_{\Phi_t}}\right) |\nabla f|^2 d\mu.$$

Proof

Let

$$\begin{aligned} I_{s,t} : \mathbb{L}_{\Phi_t} &\rightarrow \mathbb{L}_{\Phi_s} \\ f &\mapsto \|f\|_{\Phi_t} \Phi_s^{-1} \circ \Phi_t \left(\frac{f}{\|f\|_{\Phi_t}} \right). \end{aligned}$$

For any $f \in \mathbb{L}_{\Phi_t}$, by the very definition of the Luxembourg norm, it holds $\|I_{s,t}(f)\|_{\Phi_s} = \|f\|_{\Phi_t}$. Therefore, $I_{s,t}(f)$ is an isometry between the two Orlicz spaces $\mathbb{L}_{\Phi_t}$ and $\mathbb{L}_{\Phi_s}$.

Applying (1) to $I_{s,t}(f)$ leads to

$$\begin{aligned} &\|f\|_{\Phi_t}^2 \int \dot{\Phi}_s \left(\Phi_s^{-1} \circ \Phi_t \left(\frac{f}{\|f\|_{\Phi_t}} \right) \right) d\mu \\ &\leq c \int \Phi_s'' \circ \Phi_s^{-1} \circ \Phi_t \left(\frac{f}{\|f\|_{\Phi_t}} \right) \frac{\Phi_t' \left(\frac{f}{\|f\|_{\Phi_t}} \right)^2 |\nabla f|^2}{\Phi_s' \circ \Phi_s^{-1} \circ \Phi_t \left(\frac{f}{\|f\|_{\Phi_t}} \right)^2} d\mu. \end{aligned}$$

The result follows by (i) and (ii).

The Standard Orlicz Family

Let $F : (0, \infty) \rightarrow \mathbb{R}$ be a $\mathcal{C}^2$ increasing function s.t. $F(1) = 0$. Assume that $(0, \infty) \ni x \mapsto xF(x)$ is convex and that $1/xF(x)$ is not integrable at $x = 0$, $x = 1$ and $x = +\infty$. Let $\mathcal{F}_1 : (0, 1) \rightarrow \mathbb{R}$ and $\mathcal{F}_2 : (1, +\infty) \rightarrow \mathbb{R}$ be two primitives of $x \mapsto 1/(xF(x))$. Let Φ_0 be a N-Young function of class $\mathcal{C}^2$ on $(0, \infty)$, and x_0 the unique positive point s.t. $\Phi_0(x_0) = 1$.

Assume $-\left(\frac{\Phi_0}{\Phi_0'}\right)' F(\Phi_0) - \Phi_0 F'(\Phi_0)$ is non-increasing on $\mathbb{R}^+$.

Let $\lambda : \mathbb{R}^+ \rightarrow \mathbb{R}^+$ be an increasing function, s.t. $\lambda(0) = 0$.

Definition The *standard Orlicz family* $(\Phi_t)_{t \geq 0}$ built from F , Φ_0 and λ is defined by

$$\Phi_t(x) := \begin{cases} 0 & \text{for } x = 0 \\ \mathcal{F}_1^{-1}(\mathcal{F}_1(\Phi_0(x)) + \lambda(t)) & \text{for } x \in (0, x_0) \\ 1 & \text{for } x = x_0 \\ \mathcal{F}_2^{-1}(\mathcal{F}_2(\Phi_0(x)) + \lambda(t)) & \text{for } x \in (x_0, +\infty). \end{cases} \quad \forall t > 0.$$

Examples

Example [G'75] For $F(x) = \log(x)$ and any N-function Φ_0 , $\mathcal{F}_1(x) = \log(\log(1/x))$, $x \in (0, 1)$ and $\mathcal{F}_2(x) = \log(\log(x))$, $x > 1$ so that $\mathcal{F}_1^{-1}(x) = e^{-e^x}$ and $\mathcal{F}_2^{-1}(x) = e^{e^x}$, $x \in \mathbb{R}$.

Hence, $\Phi_t(x) = \Phi_0^{e^{\lambda(t)}}$.

If $q(t) = 1 + e^{(4/\rho)t}$ and $\lambda(t) = \log(q(t)/2)$, with $\Phi_0(x) = x^2$, we have $\Phi_t(x) = |x|^{q(t)}$, we get $\mathbb{L}_p$ scale of Gross' setting.

Example [BCR'] For $F(x) = \log(1+x)^\beta - \log(2)^\beta$, $\beta \in (0, 1)$, one gets non explicit $\mathcal{F}_1$ and $\mathcal{F}_2$ with an asymptotic of the corresponding $\Phi_t(x)$, when x tends to ∞ or $+\infty$ equivalent to $\Phi_0 e^{a_\beta \lambda(\log \phi_0)^\beta}$, with a numerical constant a_β depend only on β .

This is the family of Young functions $x^2 e^{ctF(x)}$ considered in Barthe-Cattiaux-Roberto.

Integration Lemma for standard Orlicz family

Theorem

Let $(\Phi_t)_{t \geq 0}$ be a standard Orlicz family built from F , Φ_0 and λ . Let $c > 0$. Then the following are equivalent

(i)

$$\|f\|_{\Phi_0}^2 \int \Phi_0\left(\frac{f}{\|f\|_{\Phi_0}}\right) F\left(\Phi_0\left(\frac{f}{\|f\|_{\Phi_0}}\right)\right) d\mu \leq c \int \Phi_0''\left(\frac{f}{\|f\|_{\Phi_0}}\right) |\nabla f|^2 d\mu \quad ;$$

for any function f for which the r.h.s. is well defined;

(ii) $\forall t \geq s \geq 0$, it holds

$$\|P_t f\|_{\Phi_t} \leq \|P_s f\|_{\Phi_s}.$$

for any function $f \in \mathbb{L}_{\Phi_s}$.

Moreover (i) $\Rightarrow$ (ii) with any (increasing) λ such that

$\frac{\Phi_t''}{\Phi_t'^2} \circ \Phi_t^{-1} \geq c \lambda'(t) \frac{\Phi_0''}{\Phi_0'^2} \circ \Phi_0^{-1}$ for any $t \geq 0$ (in particular, any λ satisfying $\lambda'(t) \leq 1/c$ would do); and (ii) $\Rightarrow$ (i) with $c = 1/\lambda'(0)$.

Hyperboundedness in $\mathbb{L}_p$ -scales

Let

$$L_t := \Delta - \nabla V_t \cdot \nabla$$

$t \geq 0$, on $\mathbb{R}^n$, with V_t smooth (tbs) and s.t. $\int e^{-V_t} = 1$.

The associated semi-group $(P_s^{(t)})_{s \geq 0}$ is reversible with respect to the probability measure

$$\mu_t(dx) := e^{-V_t(x)} dx$$

Define

$$a_t := \|(\dot{V}_t)_-\|_\infty, b_t := \|\nabla \dot{V}_t\|_\infty, c_t := \|(\nabla V_t \cdot \nabla \dot{V}_t - \Delta \dot{V}_t)_-\|_\infty$$

Theorem

Consider the inhomogeneous diffusion operator L_t as above.

Assume $\forall t \geq 0 \ a_t, b_t, c_t < \infty$.

Suppose $\forall t \geq 0 \ \exists \rho_t \in \mathbb{R}$ s.t. $\text{Hess}(V_t) \geq \rho_t$.

Assume $\exists \bar{c}_t \in (0, \infty)$

$$\int f^2 \log(f^2) d\mu_t \leq \bar{c}_t \int |\nabla f|^2 d\mu_t,$$

for all f with $\int f^2 d\mu_t = 1$ for which the r.h.s is well defined.

Then, for any $p > 1$ and $0 \leq s \leq t < \infty$,

$$\|P_t^{(t)} f\|_{\Phi_t, \mu_t} \leq m(s, t) \|P_s^{(s)} f\|_{\Phi_s, \mu_s}$$

where $\Phi_t(x) = |x|^{q(t)}$, $q(t) = 1 + (p-1) \exp\{\int_0^t (2/\bar{c}_s) ds\}$, and

$$m(s, t) := \exp \left\{ \int_s^t \frac{a_u}{q(u)} + u c_u + b_u^2 \frac{1 - e^{-\rho_u u}}{2\rho_u} (q(u) - 1) du \right\}.$$

Example

$$V_t(x) = U(x) + \alpha(t)V(x) + \gamma(t)$$

with V unbounded and $\gamma(t) := \log \int e^{-U-\alpha V} dx$ so that μ_t is a probability measure.

Let $U(x) = \frac{|x|^2}{2}$, $\alpha: \mathbb{R}^+ \rightarrow \mathbb{R}^+$ non-decreasing and

$V(x) = (1 + |x|^2)^{\frac{\beta}{2}}$, with $\beta \in (0, 1]$.

Then,

$$\dot{V}_t = \alpha'(t)(1 + |x|^2)^{\frac{\beta}{2}}$$

so that $a_t = 0$; $\nabla \dot{V}_t = \alpha'(t)\beta(1 + |x|^2)^{\frac{\beta}{2}-1}x$, whence

$$b_t = \alpha'(t)\beta \sqrt{\frac{(1-\beta)^{1-\beta}}{(2-\beta)^{2-\beta}}} \quad (\text{and when } \beta \rightarrow 1, \text{ we get } b_t = \alpha'(t)).$$

One can prove that $c_t \leq n^2 \alpha'(t)(\alpha(t) + 2)$ and $\text{Hess}(V_t) \geq 1$ so that $\rho_t = 1$ and $\bar{c}_t = 2$. Hence $(P_t^{(t)})_{t \geq 0}$ is hyper-bounded in the $\mathbb{L}_{q(t)}$ scale, with $q(t) = 1 + (p-1)e^t$.

General result

Let $V_t(x) = U(x) + \alpha(t)V(x) + \gamma(t)$.

Let $L_t = \Delta - \nabla V_t \cdot \nabla$.

Theorem Assume $\forall t \geq 0$, $b_t := \|\nabla \dot{V}_t\|_\infty < \infty$ and $\exists \rho_t \in \mathbb{R}$ s.t. $\text{Hess}(V_t) \geq \rho_t I$.

Let $(\Phi_t)_{t \geq 0}$ be a family of N-functions s.t.

$\Phi_t(x) \leq x \Phi'_t(x) \leq B_t \Phi_t(x)$, $\Phi_t'^2 \leq C_t \Phi_t \Phi_t''$ and

$x^2 \Phi_t''(x) \leq D_t \Phi_t(x) + E_t$ for all $x \geq 0$ and some constants B_t, C_t, D_t, E_t .

Assume $\forall t \geq 0$ $\exists \delta_t \in [0, 1)$ and $F_t \in \mathbb{R}$ such that

$$(\dot{V}_t)_- \leq \frac{\delta_t}{4C_t} (|\nabla V_t|^2 - 2\Delta V_t) + F_t.$$

Set $W_t := (\nabla V_t \cdot \nabla \dot{V}_t - \Delta \dot{V}_t)_-$ and denote by $\bar{\rho}_t \in (0, \infty]$ the best constant such that for all f with $\|f\|_{\Phi_0} = 1$ it holds

$$\int \dot{\Phi}_t(f) d\mu_t \leq \bar{\rho}_t \int \Phi_t''(f) |\nabla f|^2 d\mu_t. \quad (2)$$

Finally, assume either that (i) $c_t := \|W_t\|_\infty < \infty$ and $\bar{\rho}_t < 1 - \delta_t$; or (ii)

$c'_t := \max\left(2\|\nabla W_t\|_\infty/b_t, \sup_{x: W_t(x) \neq 0} \left(\frac{L_t W_t}{W_t} - \rho_t\right)_-\right) < \infty$ and that for all $t \geq 0$ there exists $\delta'_t \in [0, 1)$ and $F'_t \in [0, \infty)$ such that $\delta'_t \int_0^t e^{(c'_s - \rho_s)s} ds < 1$ and $W_t \leq \frac{\delta'_t}{4B_t C_t} (|\nabla V_t|^2 - 2\Delta V_t) + F'_t$. Then, for any $f: \mathbb{R}^n \rightarrow \mathbb{R}_+$ smooth enough, it holds

$$\|P_t^{(t)} f\|_{\Phi_t, \mu_t} \leq m(s, t) \|P_s^{(s)} f\|_{\Phi_s, \mu_s}$$

where under assumption (i),

$$m(s, t) = \exp \left\{ \int_s^t F_u + \left(b_u \frac{1 - e^{-\rho_u u}}{\rho_u} \right)^2 \frac{D_u + E_u}{2(1 - \delta_u - \bar{\rho}_u)} + c_u B_u u du \right\},$$

and under assumption (ii),

$$m(s, t) = \exp \left\{ \int_s^t \left(\int_0^u e^{(c'_v - \rho_v)v} dv \right)^2 \frac{b_u(D_u + E_u)}{2(1 - \delta_u - \bar{\rho}_u - \delta'_u \int_0^u e^{(c'_v - \rho_v)v} dv)} + \int_0^u e^{(c'_v - \rho_v)v} dv (B_u F'_u + F_u) du \right\}.$$

► endtheorem

Open Problems

- An interesting example not yet covered : with $\alpha \in (1,2)$,

$$V_t(x) = (1-t)_+^2 |x|^2 + |x|^\alpha$$

we have a critical point $t = 1$ in which hypercontractivity in $\mathbb{L}_p$ spaces is replaced by a weaker property. Such an example requires $b_t = \infty$ and is not covered yet by the theorem.

- Time Dependent Diffusion Equation

For

$$L_t = L + V(t) \cdot \nabla,$$

$$\partial_t P_t^{(t)} f = L_{(t)} f + \int_0^t \left(e^{\tau L_{(t)}} V(\tau) e^{(t-\tau)L_{(t)}} f \right) d\tau.$$

- What are nonhomogeneous stochastic processes with nonconstant smoothing properties ?

THEOREM [Extended Gradient Bound]

Assume that for some $\rho \in \mathbb{R}$

$$\Gamma_2 \geq \rho \Gamma$$

and

$$\gamma := \inf_{x \in \mathbb{R}^n: W(x) \neq 0} \left(\frac{LW}{W} - 3 \frac{|\nabla W|^2}{W^2} \right) > -\infty.$$

Then, for $t \geq 0$,

$$\Gamma^W(P_t f) \leq e^{-2\min(\rho, \gamma)t} P_t(\Gamma^W(f)) \quad .$$

for all $f \in \mathcal{C}^2$.

» EquivThm

» Examples.Skip EquivThm

Remark

For $\rho = 0$, the ratio $\frac{1-e^{-2\rho t}}{\rho}$ is understood as its limit (i.e. $2t$). Notice that it is always non-negative.

Observe that, applying (ii) to constant functions $f \equiv C$, $C \neq 0$, leads to

$$W^2 \leq e^{-2\rho t} P_t(W^2).$$

Therefore, if $\int W^2 d\mu < \infty$ and $\rho > 0$, taking the limit $t \rightarrow \infty$ and by ergodicity, we would conclude that $W \equiv 0$.

Therefore, for

$$\Gamma_2^W(f) \geq \rho \Gamma^W(f)$$

to hold for a non trivial W , either $\rho \leq 0$ or $\int W^2 d\mu = \infty$.

But, we have no such restriction removing mean value $\mu(f)$ of the function f .

Example

For $p, q \geq 1$, consider

$$U(x) = c + \frac{(1 + |x|^2)^{p/2}}{p} \quad \text{and} \quad W(x) = \frac{(1 + |x|^2)^{q/2}}{q},$$

with c s.t. $\int e^{-U(x)} dx = 1$ and $|x| = (\sum x_i^2)^{1/2}$. Then

$$\begin{aligned} \frac{LW}{W} - 3 \frac{|\nabla W|^2}{W^2} \\ = \frac{qn}{1 + |x|^2} - \frac{q|x|^2}{1 + |x|^2} \left(\frac{2(q+1)}{1 + |x|^2} + (1 + |x|^2)^{(p-2)/2} \right) \end{aligned}$$

is bounded from below iff $1 \leq p \leq 2$.

Extended Gradient Bounds

COMPLETE CHARACTERISATION

$$\langle \nabla P_t f, \nabla P_t f \rangle \leq e^{\alpha t} P_t \langle \nabla f, \nabla f \rangle$$

The description of all allowed $\langle \cdot, \cdot \rangle$ comes from
Theory of Markov Semigroups in Noncommutative Spaces

see 

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- F.Cipriani, B.Zegarlinski, KMS Dirichlet forms, coercivity and super-bounded Markovian semigroups, [arXiv:2105.06000](https://arxiv.org/abs/2105.06000)
 - F.Cipriani, B.Zegarlinski, Noncommutative Perturbation Theory.
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THANK YOU FOR YOUR ATTENTION

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