

# Introduction to hypercomplex analysis

## 1st lecture

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# Higher dimensional equivalent of Complex Analysis

For the initial part of these lectures I will follow the book of Gilbert and Murray (1991).

Complex analysis - combines geometric insight with analytic concepts; for example, the Laplacian  $\Delta f$  coincides with the Laplace-Beltrami operator  $\Delta_{LB}f = \nabla \cdot (\nabla f)$ .

Types of known generalisations:

- Several complex variables -  $\mathbb{C}^n$ ;
- Clifford algebras;
- more "exotic algebras".

# Quadratic spaces

Let  $\mathbb{V}$  be a vectorial space of dimension  $n$  over a field  $\mathbb{F}$  ( $\mathbb{R}$ , or  $\mathbb{C}$ ).

Given a quadratic form  $Q : \mathbb{V} \mapsto \mathbb{F}$ , that is,

- 1  $Q(\lambda x) = \lambda^2 Q(x)$ , for all  $\lambda \in \mathbb{F}$ ,  $x \in \mathbb{V}$ ;
- 2  $Q(x) + Q(y) - Q(x - y) = 2\mathcal{B}(x, y)$ , for all  $x, y \in \mathbb{V}$ , where  $\mathcal{B}$  is a bilinear form,

we say that  $(\mathbb{V}, Q)$  is a **quadratic space**

Some remarks:

- the quadratic form  $Q$  induces a type of norm in  $\mathbb{V}$ ;
- the bilinear form  $\mathcal{B} : \mathbb{V} \times \mathbb{V} \mapsto \mathbb{F}$  induces a type of inner product in  $\mathbb{V}$ .

# Quadratic spaces - real case

## Examples (for $\mathbb{V} = \mathbb{R}^n$ )

- "easiest example": take  $Q \equiv 0$ ; this gives raise to [Grassmannian algebras](#);
- for  $Q_{p,q}(x_1, \dots, x_n) = \sum_{j=1}^p x_j^2 - \sum_{j=p+1}^{p+q} x_j^2$ , where  $p + q = n$ , we obtain a quadratic space with "signature"  $(p, q)$ , i.e.

$$(\mathbb{R}^n, Q_{p,q}) = \mathbb{R}^{p,q}.$$

In particular, we have

- $\mathbb{R}^{0,n}$  or  $\mathbb{R}^{n,0}$  as [Euclidean spaces](#)

$$Q_{0,n}(x_1, \dots, x_n) = - \sum_{j=1}^n x_j^2 = -\|(x_1, \dots, x_n)\|^2,$$

and

- $\mathbb{R}^{1,3}$  or  $\mathbb{R}^{3,1}$  as [Minkowski spaces](#) (time-space),

$$Q_{1,3}(t, x, y, z) = t^2 - (x^2 + y^2 + z^2).$$

# Quadratic spaces - complex case

For  $\mathbb{V} = \mathbb{C}^n$ , we can assign

$$Q_n(z_1, \dots, z_n) = z_1^2 + \dots + z_n^2$$

and we obtained a (complex) quadratic space  $(\mathbb{C}^n, Q_n)$ .

In this case, both the quadratic form and the corresponding complex bilinear form  $\mathcal{B}$ ,

$$\mathcal{B}(z, w) = z_1 w_1 + \dots + z_n w_n,$$

require an additional operation (conjugation) in order to be identified with a norm or an inner product in  $\mathbb{C}^n$ .

# Radical part of $(\mathbb{V}, Q)$

Consider now  $\{\mathbf{e}_j, j = 1, \dots, n\}$  a basis for  $\mathbb{V}$ .

For  $x = \sum_j x_j \mathbf{e}_j \in \mathbb{V}$  we have

$$Q(x) = \mathcal{B}(x, x) = \sum_{i,j} \mathcal{B}(\mathbf{e}_i, \mathbf{e}_j) x_i x_j = x^t \mathbb{B} x.$$

The basis  $\{\mathbf{e}_j\}$  is said  **$\mathcal{B}$ -orthogonal** if  $\mathcal{B}(\mathbf{e}_i, \mathbf{e}_j) = 0$  for  $i \neq j$ . In that case,

$$Q(x) = \sum_i \mathcal{B}(\mathbf{e}_i, \mathbf{e}_i) x_i^2 = \sum_i Q(\mathbf{e}_i) x_i^2.$$

We define the **radical part of  $(\mathbb{V}, Q)$**  as

$$\text{Rad}(\mathbb{V}, Q) := \{x \in \mathbb{V} : \mathcal{B}(x, y) = 0, \text{ for all } y \in \mathbb{V}\}.$$

The quadratic space  $(\mathbb{V}, Q)$  is called **non-degenerated** if  $\text{Rad}(\mathbb{V}, Q) = \{0\}$ , and **degenerated** otherwise. In that case,

$$\mathbb{V} = \text{Rad}(\mathbb{V}, Q) \oplus_{\mathcal{B}} \text{Rad}(\mathbb{V}, Q)^{\perp}.$$

# Radical part of $(\mathbb{V}, Q)$

Furthermore, for the non-degenerated part  $\text{Rad}(\mathbb{V}, Q)^\perp$  one can construct normalised basis s.t.

$$Q(\mathbf{e}_i) = \pm 1.$$

## Theorem 1.1

Let  $(\mathbb{V}, Q)$  be a quadratic space with

$$\mathbb{V} = \text{Rad}(\mathbb{V}, Q) \oplus_{\mathcal{B}} \text{Rad}(\mathbb{V}, Q)^\perp.$$

Then

- 1  $Q \equiv 0$  on  $\text{Rad}(\mathbb{V}, Q)$ ;
- 2  $\text{Rad}(\mathbb{V}, Q)^\perp$  is isomorphic to
  - 1  $\mathbb{R}^{p,q}$  if  $\mathbb{F} = \mathbb{R}$ , with  $p, q$  depending only on  $Q_{p,q}$  (Sylvester's Theorem)
  - 2  $(\mathbb{C}^n, Q_n)$  if  $\mathbb{F} = \mathbb{C}$ ,

with  $p + q = n$  the dimension of  $\text{Rad}(\mathbb{V}, Q)^\perp$ .

## Sylvester's law of inertia

Property 2.1 is called [Sylvester's law of inertia](#) since it implies for the matrix  $\mathbb{B}$

$$\mathbb{B} := [\mathcal{B}(\mathbf{e}_i, \mathbf{e}_j)]_{i,j} = \begin{bmatrix} +1 & & & & \\ & \ddots & & & \\ & & +1 & & \\ & & & -1 & \\ & & & & \ddots \\ & & & & & -1 \end{bmatrix}$$

# Clifford algebras

Let  $\mathbb{V}$  a finite dimensional vector space over  $\mathbb{F}$  and  $(\mathbb{V}, Q)$  a quadratic space.

Let  $\mathcal{A}$  be an associative algebra over  $\mathbb{F}$  with identity  $1_{\mathcal{A}}$  and a  $c : \mathbb{V} \mapsto \mathcal{A}$  an  $\mathbb{F}$ -linear embedding of  $\mathbb{V}$  into  $\mathcal{A}$ .

## Definition 1.2

The pair  $(\mathcal{A}, c)$  is a Clifford algebra associated to  $(\mathbb{V}, Q)$  if

- 1  $\mathcal{A}$  is generated as an algebra by  $\{c(x), x \in \mathbb{V}\}$ ;
- 2  $\mathbb{F} \cong \{\lambda 1_{\mathcal{A}}, \lambda \in \mathbb{F}\}$ ;
- 3  $[c(x)]^2 = -Q(x)1_{\mathcal{A}}$ .

# First example: Grassmann algebra

- 1 Let  $Q \equiv 0$  on  $\mathbb{V}$ .

The exterior algebra  $\mathcal{A} = \wedge^*(\mathbb{V}) = \sum_{k=0}^n \wedge^k(\mathbb{V})$ , with  $n$  the dimension of the vector sp.  $\mathbb{V}$ , satisfy

- $\wedge^0(\mathbb{V}) \cong \mathbb{F}$ ;
- $\wedge^1(\mathbb{V}) \cong \mathbb{V}$ ;
- let  $c : \mathbb{V} \mapsto \wedge^1(\mathbb{V})$ ; since we have  $x_1 \wedge \cdots \wedge x_k \in \wedge^k(\mathbb{V})$  then  $\mathcal{A} = \wedge^*(\mathbb{V})$  is generated by  $\{c(x), x \in \mathbb{V}\}$ ;
- $[c(x)]^2 = x \wedge x = 0 = -Q(x)1_{\mathcal{A}}$  for all  $x \in \mathbb{V}$ .

Hence,  $\mathcal{A} = \wedge^*(\mathbb{V})$  is a (degenerated) Clifford algebra for  $(\mathbb{V}, Q)$ .

# Realisations of $\mathbb{R}$ , $\mathbb{C}$ , and $\mathbb{H}$ in terms of Pauli matrices

2 Consider the associated Pauli matrices in  $\mathbb{C}^{2 \times 2}$

$$\mathbf{e}_0 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad \mathbf{e}_1 = \begin{bmatrix} i & 0 \\ 0 & -i \end{bmatrix}, \quad \mathbf{e}_2 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \quad \mathbf{e}_3 = \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix}.$$

We have

$$\mathbf{e}_0^2 = \mathbb{I}, \quad \mathbf{e}_1^2 = \mathbf{e}_2^2 = \mathbf{e}_3^2 = \mathbf{e}_1 \mathbf{e}_2 \mathbf{e}_3 = -\mathbb{I}.$$

Then we obtain the following Clifford algebras:

- $\mathbb{R}_{0,0} = \{\lambda \mathbf{e}_0, \lambda \in \mathbb{R}\} \cong \mathbb{R}$ , associated to the vector space  $\mathbb{V} = \mathbb{R}$ ;
- For  $\sigma_3 = -i\mathbf{e}_3$  we have  $\sigma_3^2 = \mathbf{e}_0$  so that

$$\mathbb{R}_{1,0} = \left\{ x\mathbf{e}_0 + y\sigma_3 = \begin{bmatrix} x & y \\ y & x \end{bmatrix}, x, y \in \mathbb{R} \right\} \cong \mathbb{R} \oplus \mathbb{R}, \text{ also}$$

associated to the vector space  $\mathbb{V} = \mathbb{R}$ , and with

$$[c(y\sigma_3)]^2 = \begin{bmatrix} 0 & y \\ y & 0 \end{bmatrix}^2 = y^2 \mathbf{e}_0.$$

# Realisations of $\mathbb{R}$ , $\mathbb{C}$ , and $\mathbb{H}$ in terms of Pauli matrices

- $\mathbb{R}_{0,1} = \left\{ x\mathbf{e}_0 + y\mathbf{e}_2 = \begin{bmatrix} x & y \\ -y & x \end{bmatrix}, x, y \in \mathbb{R} \right\} \cong \mathbb{C}$ , also

associated to the vector space  $\mathbb{V} = \mathbb{R}$ , and with

$$[c(y\mathbf{e}_2)]^2 = \begin{bmatrix} 0 & y \\ -y & 0 \end{bmatrix}^2 = -y^2\mathbf{e}_0.$$

- $\mathbb{R}_{0,2} = \{ x\mathbf{e}_0 + y\mathbf{e}_1 + x'\mathbf{e}_2 + y'\mathbf{e}_3, x, y, x', y' \in \mathbb{R} \} \cong \mathbb{H}$ , where

$$x\mathbf{e}_0 + y\mathbf{e}_1 + x'\mathbf{e}_2 + y'\mathbf{e}_3 = \begin{bmatrix} x + iy & x' + iy' \\ -x' + iy' & x - iy \end{bmatrix} = \begin{bmatrix} z & w \\ -\bar{w} & \bar{z} \end{bmatrix}.$$

This Clifford algebra is associated to  $\mathbb{V} = \mathbb{R}^2$  and we have

$$[c(y\mathbf{e}_1 + x'\mathbf{e}_2)]^2 = \begin{bmatrix} iy & x' \\ -x' & -iy \end{bmatrix}^2 = -(y^2 + x'^2)\mathbf{e}_0.$$

# A complex Clifford algebra

3 For  $\mathbb{V} = \mathbb{C}^2$ , and  $Q_2(z, w) = z^2 + w^2$ , we take  $c : \mathbb{C}^2 \mapsto \mathbb{C}^{2 \times 2}$  as

$$c(z, w) = \begin{bmatrix} 0 & z - iw \\ z + iw & 0 \end{bmatrix},$$

so that  $\mathbb{C}_2 = \mathbb{C}^{2 \times 2}$  is a Clifford algebra associated to  $(\mathbb{C}^2, Q_2)$ .

# Universal Clifford algebras

Some simplifications:

1. we will make no distinction between  $\lambda 1_{\mathcal{A}} \in \mathcal{A}$  and  $\lambda \in \mathbb{F}$ ;
2. similarly, no distinction between  $c(x) \in \mathcal{A}$  and  $x \in \mathbb{V}$ .

Under these conventions, condition 3. of Definition 1.2. becomes

$$Q(x) = -x^2, \quad \text{for all } x \in \mathbb{V}.$$

## Lemma 1.3

For all  $x, y \in \mathbb{V}$ , it holds

$$\mathcal{B}(x, y) = -\frac{1}{2}(xy + yx).$$

Immediate, since

$$-(x + y)^2 = Q(x + y) = 2\mathcal{B}(x, y) + Q(x) + Q(y) = 2\mathcal{B}(x, y) - x^2 - y^2.$$

# Basis for a Clifford algebra - 1

## Lemma 1.4

Let  $\{\mathbf{e}_j, j = 1, \dots, n\}$  be an  $\mathcal{B}$ -orthonormal basis of  $\mathbb{V}$ . Then,

$$\mathbf{e}_i \mathbf{e}_j + \mathbf{e}_j \mathbf{e}_i = 2\mathbf{e}_j^2 \delta_{i,j}, \quad i, j = 1, \dots, n.$$

## Theorem 1.5

Let  $(\mathcal{A}, c)$  be a Clifford algebra associated to the quadratic space  $(\mathbb{V}, Q)$ , and  $\{\mathbf{e}_j, j = 1, \dots, n\}$  be an  $\mathcal{B}$ -orthonormal basis of  $\mathbb{V}$ . Then,

- 1  $\mathcal{A}$  is spanned by all products

$$\mathbf{e}_1^{\alpha_1} \cdots \mathbf{e}_n^{\alpha_n}, \quad \alpha_j = 0, 1;$$

- 2  $\dim(\mathcal{A})$  is at most  $2^{\dim(\mathbb{V})}$ .

# Basis for a Clifford algebra - 2

Since for an  $\mathcal{B}$ -orthonormal basis we have  $\mathbf{e}_i \mathbf{e}_j = -\mathbf{e}_j \mathbf{e}_i$ ,  $i \neq j$ , we can re-order these basis elements as

$$\mathbf{e}_\emptyset = 1, \quad \mathbf{e}_A = \mathbf{e}_{i_1} \cdots \mathbf{e}_{i_k}, \quad 1 \leq i_1 < \cdots < i_k \leq n = \dim(\mathbb{V}).$$

By convention,  $A = \{i_1, \dots, i_k\} \subset \{1, \dots, n\} := N$ .

**Remark:** as a Clifford algebra,  $\mathcal{C}\ell_{0,2}$  has dimension  $4 = 2^2$  although associated to  $\mathbb{V} = \mathbb{R}^3$ . (Recall,  $\mathbf{e}_1 \mathbf{e}_2 = \mathbf{e}_{1,2} = \mathbf{e}_3$ ).

## Theorem 1.5

The dimension of a Clifford algebra  $\mathbb{R}_{p,q} = (\mathcal{A}, c)$  associated to  $\mathbb{R}^{p,q} = (\mathbb{R}^n, Q_{p,q})$  is

- 1  $\dim(\mathbb{R}_{p,q}) = 2^{p+q-1}$  if
  - $p - q - 1 \equiv 0 \pmod{4}$ ;
  - $p + q$  is odd;
  - $\mathbf{e}_N = \mathbf{e}_1 \cdots \mathbf{e}_n \in \mathbb{R}$ .
- 2  $\dim(\mathbb{R}_{p,q}) = 2^{p+q}$ , otherwise.

# Universal Clifford algebras

Whenever  $\dim(\mathcal{A}) = 2^{\dim(\mathbb{V})}$  we say  $\mathcal{A}$  is a **universal Clifford algebra**. This implies that each product

$$\mathbf{e}_i \mathbf{e}_j$$

is a new element in the basis of the algebra.

$\mathbb{H}$  is **not** a universal Clifford algebra as  $\mathbf{e}_1 \mathbf{e}_2 = \mathbf{e}_3$ .

For every quadratic space  $(\mathbb{V}, Q)$  there exists a universal Clifford algebra  $(\mathcal{A}, c)$  (i.e.,  $\dim(\mathcal{A}) = 2^n$ ).

# $\mathbb{Z}_2$ -grading

We have for  $x \in \mathcal{A}$  that

$$x = \sum_{A \subset N} x_A \mathbf{e}_A, \quad x_A \in \mathbb{F}.$$

We now decompose  $\mathcal{A}$  into

$$\mathcal{A}^+ : \sum_{|A| \text{ even}} x_A \mathbf{e}_A, \quad \mathcal{A}^- : \sum_{|A| \text{ odd}} x_A \mathbf{e}_A.$$

Then

- $\mathcal{A}^+$  is again a Clifford algebra, with  $\dim(\mathcal{A}^+) = 2^{n-1}$ . Furthermore,  $\mathbb{F} \subset \mathcal{A}^+$ ;
- $\mathcal{A}^+$  is not anymore an algebra but  $\mathbb{V} \subset \mathcal{A}^-$ ;
- $\mathcal{A} = \mathcal{A}^+ \oplus \mathcal{A}^-$  and the following multiplication rules hold

$$\mathcal{A}^+ \mathcal{A}^+ = \mathcal{A}^- \mathcal{A}^- = \mathcal{A}^+, \quad \mathcal{A}^+ \mathcal{A}^- = \mathcal{A}^- \mathcal{A}^+ = \mathcal{A}^-;$$

- $x = \sum_{k=0}^{2^n} [x]_k$ , where  $[x]_k := \sum_{|A|=k} x_A \mathbf{e}_A$ .

# Universal real Clifford algebra $\mathbb{R}_{p,q}$

In what follows, let  $\mathbb{R}_{p,q}$  be the universal real Clifford algebra associated to the quadratic space  $\mathbb{R}^{p,q}$ .

- $\mathbb{R}_{n,0}, \mathbb{R}_{0,n}$  are real Clifford algebras associated to the Euclidean space  $\mathbb{R}^n$ ;
- $\mathbb{R}_{p,q}$ , ( $p, q \neq 0$ ) are called **Lorentzian algebras**;
- $\mathbb{H}$  is not a universal Clifford algebra, since

$$\mathbb{H} \cong \mathbb{R}_{0,2} \cong \mathbb{R}_{0,3}^+$$

# Witt basis in $\mathbb{R}^{2n}$ (Sommen's trick)

We use a quadratic form  $Q_{n,n}$  and associate an  $\mathcal{B}$ -orthonormal basis  $\{\mathbf{e}_j, \epsilon_j, j = 1, \dots, n\}$ , that is

$$\mathbf{e}_j^2 = -1, \quad \epsilon_j^2 = +1,$$

$$\mathbf{e}_i \mathbf{e}_j + \mathbf{e}_j \mathbf{e}_i, \quad \epsilon_i \epsilon_j + \epsilon_j \epsilon_i, \quad i \neq j$$

$$\mathbf{e}_i \epsilon_j + \epsilon_j \mathbf{e}_i.$$

Construct

$$\mathbf{f}_j = \frac{1}{2}(\epsilon_j + \mathbf{e}_j), \quad \mathbf{f}_j^\dagger = \frac{1}{2}(\epsilon_j - \mathbf{e}_j).$$

Then

- $\mathbf{f}_j^2 = (\mathbf{f}_j^\dagger)^2 = 0$ ;
- $\mathbf{f}_j + \mathbf{f}_j^\dagger = \epsilon_j, \quad \mathbf{f}_j - \mathbf{f}_j^\dagger = \mathbf{e}_j$ ;

Usage:

- Super-algebras:  $\partial_{z_j} \mathbf{f}_j + \partial_{\bar{z}_j} \mathbf{f}_j^\dagger, \quad z_j = x_j \epsilon_j + y \mathbf{e}_j$ ;
- Factorisation of the heat operator  $\partial_t - \Delta$  in terms of 1st order operators.

# Ideas of other (future) applications

## Lemma 1.6

$$\mathbb{R}_{1,n+1} = \mathbb{R}_{0,n} \otimes_{\mathbb{R}} \mathbb{R}_{1,1},$$

where  $\mathbb{R}_{1,1}$  is spanned by  $\{1, \mathbf{e}_+, \mathbf{e}_-, \mathbf{e}_+ \mathbf{e}_-\}$ .

This allows for the imbedding of the vectorial space  $\mathbb{R}^n$  into the quadratic sp.  $\mathbb{R}^{1,n+1}$ :

$$(x_1, \dots, x_n) \mapsto \left( x_1, \dots, x_n, \frac{1 - |x|^2}{2}, \frac{1 + |x|^2}{2} \right)$$

with

$$ds^2 = \sum_j dx_j^2 \leftrightarrow dT^2 = \sum_j dX_j^2$$

Euclidean metric

hyperbolic metric

whereas  $X_j = x_j$ ,  $X_{n+1} = \frac{1 - |x|^2}{2}$ , and  $T = \frac{1 + |x|^2}{2}$ .

# References

- [1] Gilbert, J. E., and Murray, M., *Clifford algebras and Dirac operators in harmonic analysis*, Cambridge University Press, **26**, 1991.
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