

# Gelfand–Phillips type properties in noncommutative analysis

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# Abstract

- ① We characterize noncommutative symmetric spaces  $E(\mathcal{M}, \tau)$  having the Gelfand–Phillips property;
- ② We characterize noncommutative symmetric spaces  $E(\mathcal{M}, \tau)$  having the WCG-property.

## $\tau$ -measurable operators

A closed and densely defined operator  $x : D(x) \rightarrow H$  is said to be

- ① **affiliated** with the von Neumann algebra  $\mathcal{M}$  if it commutes with every unitary operator from the commutant  $\mathcal{M}'$  of  $\mathcal{M}$ ;
- ②  **$\tau$ -measurable** if it is affiliated with  $\mathcal{M}$  and if there exists  $\lambda > 0$  such that

$$\tau(e^{|x|}(\lambda, \infty)) < \infty.$$

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The collection of all  $\tau$ -measurable operators with respect to  $\mathcal{M}$  is denoted by  $S(\mathcal{M}, \tau)$ .

# NC-version of decreasing rearrangement

## Definition

Let  $x \in S(\mathcal{M}, \tau)$ . The **generalised singular value function** (decreasing, right-continuous)  $\mu(x) : t \rightarrow \mu(t; x)$ ,  $t \geq 0$ , of the operator  $x$  is defined by setting

$$\mu(t; x) = \inf \{ \|xp\|_\infty : p \in \mathcal{P}(\mathcal{M}), \tau(\mathbf{1} - p) \leq t \}.$$

# Noncommutative Symmetric Spaces

Definition (V.I. Ovčinnikov, Symmetric spaces of measurable operators, Dokl. Akad. Nauk SSSR, 1970)

<sup>a</sup> We say that  $(E(\mathcal{M}, \tau), \|\cdot\|_E)$  is a **noncommutative symmetric space affiliated with  $\mathcal{M}$**  if the following hold:

- ①  $E(\mathcal{M}, \tau)$  is a subset of  $S(\mathcal{M}, \tau)$ ;
- ②  $(E(\mathcal{M}, \tau), \|\cdot\|_E)$  is a Banach space;
- ③ If  $x \in E(\mathcal{M}, \tau)$  and if  $y \in S(\mathcal{M}, \tau)$  are such that  $\mu(y) \leq \mu(x)$ , then  $y \in E(\mathcal{M}, \tau)$  and  $\|y\|_E \leq \|x\|_E$ .

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<sup>a</sup>P. G. Dodds , B. de Pagter , F. A. Sukochev, Noncommutative Integration and Operator Theory, Springer Nature, PM volume 349, 2023.

# Order continuity

Let  $E(\mathcal{M}, \tau)$  be a noncommutative symmetric space.

The norm  $\|\cdot\|_E$  is called **order continuous** if  $\|x_\alpha\|_E \downarrow 0$  whenever  $0 \leq x_\alpha \downarrow 0 \subset E(\mathcal{M}, \tau)$ .

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## Proposition

$E(0, \infty)$  is separable if and only if it has order continuous norm. <sup>a</sup>

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<sup>a</sup>Aliprantis–Burkinshaw, Positive Operators, 1985.

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Recall that a (norm) bounded subset  $A$  of a Banach space  $E$  is called *limited* if given a weak\*-null sequence  $(f_n)_n$  in  $E^*$  we have

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Each relatively (norm) compact subset of  $E$  is limited. If, conversely, every limited subset of  $E$  is relatively (norm) compact, then  $E$  is said to have the *Gelfand-Phillips property*.

# Buhvalov's theorem<sup>1</sup>

## Theorem

For a  $\sigma$ -Dedekind complete Banach lattice  $E$  the following statements are equivalent:

- (i) The norm on  $E$  is order continuous;
- (ii)  $E$  has the Gelfand–Phillips property.

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<sup>1</sup>A.V. Buhvalov, *Locally convex spaces that are generated by weakly compact sets*, Vestnik Leningrad. Univ. No. 7 Mat. Meh. Astronom. Vyp. 2 (1973), 11–17 (in Russian).

# Noncommutative version of Buhvalov's theorem

Theorem (Huang–N–Pliev–Sukochev, TAMS, 2024)

Let  $\mathcal{M}$  be a semifinite von Neumann algebra equipped with a faithful normal semifinite trace  $\tau$ . A strongly symmetric space  $E(\mathcal{M}, \tau)$  has the Gelfand–Phillips property if and only if its norm is order continuous.

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Proof.

( $\Rightarrow$ ) Since every closed subspace of a space having the Gelfand–Phillips property inherits the Gelfand–Phillips property <sup>a</sup>, it follows from Buhvalov's Theorem and the fact that  $\|\cdot\|_{\ell_\infty}$  is not order continuous that  $E(\mathcal{M}, \tau)$  does not have a subspace isomorphic to  $\ell_\infty$ . Hence,  $E(\mathcal{M}, \tau)$  has order continuous norm. <sup>b</sup>



<sup>a</sup>G. Emmanuele, *Gelfand–Phillips property in a Banach space of vector valued measures*, Math. Nachr. 127 (1986), 21–23.

<sup>b</sup>P. Dodds, B. de Pagter, *Properties (u) and ( $V^*$ ) of Pelczynski in symmetric spaces of  $\tau$ -measurable operators*, Positivity 15 (2011), 571–594. Theorem 3.7

# WCG property

# WCG property

Let us recall that a Banach space  $E$  is said to be a *WCG-space* (or  $E$  has WCG property) if  $E$  contains a linearly dense weakly compact subset  $K$ , i.e.,  $E = \overline{\text{span}K}$ <sup>2</sup>.

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<sup>2</sup>W. Wnuk, *Banach Lattices with Order Continuous Norms*, Advanced Topics in Math, Adam Mickiewicz Univ., Poznan, Polish Scientific Publishers PWN 1999.

A symmetric function space  $E(0, \infty)$  is separable if and only if it has order continuous norm. However, a noncommutative symmetric space  $E(\mathcal{M}, \tau)$  is not necessarily separable when  $\|\cdot\|_{E(\mathcal{M}, \tau)}$  is order continuous<sup>3</sup>.

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<sup>3</sup>F. Sukochev, *Linear-topological classification of separable  $L_p$ -spaces associated with von Neumann algebras of type I*, Israel. J. Math. 115 (2000), 137–156.

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### Proposition

Let  $E(\mathcal{M}, \tau)$  be a strongly symmetric space which is a WCG-space, then  $E(\mathcal{M}, \tau)$  has order continuous norm.

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### Proposition

Let  $E(\mathcal{M}, \tau)$  be a strongly symmetric space which is a WCG-space, then  $E(\mathcal{M}, \tau)$  has order continuous norm.

### Proof.

Any strongly symmetric WCG-space is automatically Gelfand–Phillips<sup>a</sup>. Hence,  $E(\mathcal{M}, \tau)$  has order continuous norm. □

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## Theorem

*For a strongly symmetric space  $E(\mathcal{M}, \tau)$  affiliated with a von Neumann algebra  $\mathcal{M}$  equipped with a semifinite faithful normal trace  $\tau$  such that  $E(\mathcal{M}, \tau)^\times \subset S_0(\mathcal{M}, \tau)$ , we have*

*$E(\mathcal{M}, \tau)$  has order continuous norm if and only if it is a WCG-space.*

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## Proof.

$(\Rightarrow)$   $E(\mathcal{M}, \tau)$  is fully symmetric. Note for  $0 \neq p \in \mathcal{P}(\mathcal{M})$  we have  $\Omega_{\mathcal{M}}(p) = \{y \in S(\mathcal{M}, \tau) : y \prec\prec p\}$  is  $\sigma(E(\mathcal{M}, \tau), E(\mathcal{M}, \tau)^\times)$ -compact in  $E(\mathcal{M}, \tau)$ . For any  $y \in S(\mathcal{M}, \tau)$  with  $\tau(I(y)) < \infty$  ( $y \in \mathcal{F}(\tau)$ ), we have

$$\mu(y) \leq \|y\|_{\mathcal{M}} \chi_{(0, \tau(I(y)))} \prec\prec \|y\|_{\mathcal{M}} \frac{\tau(I(y))}{\min\{\tau(I(y)), \tau(p)\}} \mu(p).$$

That is,  $\Omega_{\mathcal{M}}(p)$  generates  $\mathcal{F}(\tau)$ . Hence  $\overline{\text{span}(\Omega_{\mathcal{M}}(p))} = E(\mathcal{M}, \tau)$ .



## Theorem

Let  $\mathcal{M}$  be a  $\sigma$ -finite von Neumann algebra equipped with a semifinite faithful normal trace  $\tau$ , then a strongly symmetric space  $E(\mathcal{M}, \tau)$  has order continuous norm if and only if it is a WCG-space.

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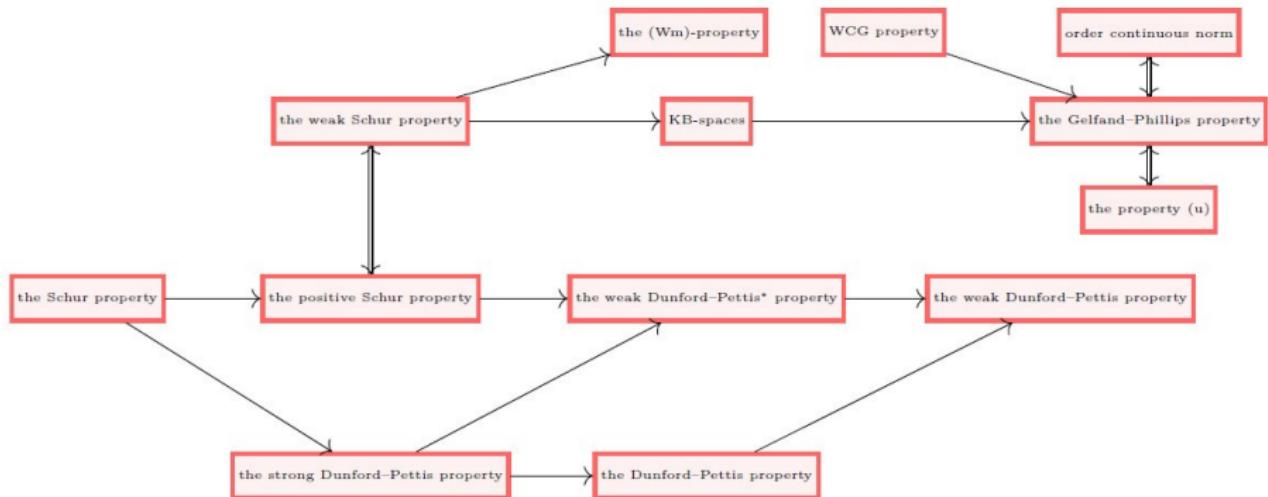
## Proof.

( $\Rightarrow$ ) There exists an increasing sequence of  $\tau$ -finite projections  $p_n$ 's such that  $p_n \uparrow_n \mathbf{1}$ . It follows from Theorem above that  $E(\mathcal{M}_{p_n}, \tau)$  is a WCG-space. Hence, there is a weakly compact  $K_n \subset E(\mathcal{M}_{p_n}, \tau)$  such that  $E(\mathcal{M}_{p_n}, \tau) = \overline{\text{span } K_n}$ ,  $n \in \mathbb{N}$ . We claim that  $\cup_{n \geq 1} \frac{1}{n} K_n$  is a weakly compact subset generating  $E(\mathcal{M}, \tau)$ . For each  $x \in E(\mathcal{M}, \tau)$ , we have  $\|p_n x p_n - x\|_E \rightarrow 0$  as  $n \rightarrow \infty$ . It suffices to prove that  $\cup_{n \geq 1} \frac{1}{n} K_n$  is weakly compact. Indeed, for any sequence  $(x_k)_k$  in  $\cup_{n \geq 1} \frac{1}{n} K_n$  either

- ① all  $x_k$ 's belong to  $\cup_{1 \leq k \leq n} \frac{1}{k} K_k$  for some  $n < \infty$ , then done.
- ② there exists a subsequence  $(x_{n_k})_k$  of  $(x_n)_n$  such that  $x_{n_k} \in \frac{1}{m_k} K_{m_k}$  with  $n_k, m_k \rightarrow_k \infty$ . In this case, we have  $x_{n_k} \rightarrow_k 0$  in norm.



# Interrelations between properties in NC setting



$E(\mathcal{M}, \tau)$  is a strongly symmetric space affiliated with a semifinite von Neumann algebra  $\mathcal{M}$